

San José State University  
Department of Computer Science

CS/SE 153  
Concepts of Compiler Design

Section 1  
Fall 2023

**Course and Contact Information**

Instructor: Ron Mak  
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Website: <http://www.cs.sjsu.edu/~mak/>  
Office hours: W 6:00 – 7:00 PM, Clark Hall CL 325  
Class days/time: MW: 4:30 – 5:45 PM  
Classroom: MacQuarrie Hall MQH 422  
Prerequisites: CS 47 or CMPE 102, CS 146, and CS 154 (with a grade of "C-" or better in each); Computer Science, Applied and Computational Math, or Software Engineering majors only; or instructor consent.

**Course Catalog Description**

“Theoretical aspects of compiler design, including parsing context free languages, lexical analysis, translation specification and machine-independent code generation. Programming projects to demonstrate design topics.”

**Course Format**

This class will meet in person in the classroom. Exams will be given in the classroom.

**Faculty Web Page and Canvas**

Course materials, syllabus, assignments, grading criteria, exams, and other information will be posted at my [faculty website](http://www.cs.sjsu.edu/~mak) at <http://www.cs.sjsu.edu/~mak> and on the [Canvas Learning Management System course login website](http://sjsu.instructure.com) at <http://sjsu.instructure.com>. You are responsible for regularly checking these websites to learn of any updates. You can find Canvas video tutorials and documentations at <http://ges.sjsu.edu/canvas-students>

## Course Goals

This course will concentrate on practical aspects of programming language translation and engineering a large, complex software application.

Programming language translation in various forms:

- **Interpreter with an interactive symbolic debugger.** Execute a program written in a procedural language and be able to set breakpoints, single-step, examine and set variable values, etc.
- **Language conversion.** Convert a program written in one high-level language to an equivalent program written in another high-level language.
- **Compiler construction and language design.** Design and build a working compiler for a programming language that you invented. Write sample programs in your language and compile them into assembly language code that you can then assemble and run.
- **Software engineering.** Employ the best practices of object-oriented design and team-based software engineering. A compiler is a large, complex program! Managing the development of such a program requires learning *critical job skills that are highly desired by employers*.

## Course Learning Outcomes (CLO)

Upon successful completion of this course, students will be able to:

CLO 1: Develop a **scanner** and a **parser** for a programming language.

CLO 2: Perform **syntactic and semantic analyses** of source programs.

CLO 3: Generate **symbol tables** and **intermediate code** for source programs.

CLO 4: Develop an **interpreter** with an interactive **symbolic debugger** that executes a source program in a suitable runtime environment.

CLO 5: Design the **grammar** for a programming language and feed it into a **compiler-compiler**.

CLO 6: Develop a **compiler** that translates a source program into **executable machine code**.

CLO 7: Use the **ANTLR 4** compiler-compiler tools.

CLO 8: Engineer a large, complex software application.

## Required Text

Title:	<b>The Definitive ANTLR 4 Reference, 2<sup>nd</sup> edition</b>
Author:	Terence Parr
Publisher:	Pragmatic Bookshelf, 2013
ISBN:	978-1934356999
	<a href="http://www.antlr.org">http://www.antlr.org</a>

## Recommended Text

Title:	<b>Writing Compilers and Interpreters, 3rd edition</b>
Author:	Ronald Mak
Publisher:	Wiley Publishing, Inc., 2009
ISBN:	978-0-470-17707-5

## Online Pascal Tutorials

We will use Pascal as the example source language.

[Pascal Tutorial](#) looks very good. It even has an online compiler.

[Learn Pascal](#) also looks good, although it doesn't appear to cover set types.

Some online websites to compile and run Pascal programs:

[http://rextester.com/l/pascal\\_online\\_compiler](http://rextester.com/l/pascal_online_compiler)

[https://www.tutorialspoint.com/compile\\_pascal\\_online.php](https://www.tutorialspoint.com/compile_pascal_online.php)

<https://www.jdoodle.com/execute-pascal-online>

## Other Useful Tutorials

[Install the ANTLR 4 plug-in for Eclipse, etc.](#)

## Software to Install

You should install and use an interactive development environment (IDE) such as Eclipse or IntelliJ. To develop a compiler for your language, you will need to download and install the ANTLR 4 package and its Eclipse or IntelliJ plugin, and then modify them to generate the compiler components in Java. This is relatively straightforward on the Mac and Linux platforms. However, the Windows platform may have compatibility challenges. Therefore, if you're on Windows, you should download and install the Windows Subsystem for Linux and then download and run Ubuntu (a variant of Linux): <https://docs.microsoft.com/en-us/windows/wsl/install-win10>

## Project teams

You will form small project teams. *Team membership is mandatory for this class.* The teams will last throughout the semester. Once the teams are formed, you will not be allowed to move from one team to another, so form your teams wisely!

## Course Requirements and Assignments

You must have good Java programming skills and be familiar with development tools such as Eclipse or IntelliJ.

Weekly team-based **lab assignments** will provide practice with compiler design techniques and give you experience adding new features to a large legacy code base. *Each student on a team will receive the same score for each team assignment.*

Each team will submit its assignments into Canvas, where the rubric for scoring each will be displayed. Late assignments will lose 20 points and an additional 20 points for each 24 hours after the due date.

***This is a challenging course that will demand much of your time and effort throughout the semester.***

The university's syllabus policies:

- [University Syllabus Policy S16-9](http://www.sjsu.edu/senate/docs/S16-9.pdf) at <http://www.sjsu.edu/senate/docs/S16-9.pdf>.

- Office of Graduate and Undergraduate Program’s [Syllabus Information web page](http://www.sjsu.edu/gup/syllabusinfo/) at <http://www.sjsu.edu/gup/syllabusinfo/>

“Success in this course is based on the expectation that students will spend, for each unit of credit, a minimum of 45 hours over the length of the course (normally three hours per unit per week) for instruction, preparation/studying, or course related activities, including but not limited to internships, labs, and clinical practica. Other course structures will have equivalent workload expectations as described in the syllabus.”

## Exams

The exams will test understanding (not memorization) of the material taught during the semester and now well each of you participated in your team assignments and project. Instant messaging, e-mails, texting, tweeting, file sharing, or any other forms of communication with anyone else during the exams will be strictly forbidden.

There can be no make-up quizzes and midterm examination unless there is a documented medical emergency. Make-up final examinations are available only under conditions dictated by University regulations.

## Team Compiler Project

In addition to the team-based assignments, each project team will develop a compiler project during the semester. Each team will develop a working compiler for a newly invented language or for an existing language. Teams will be able to write, compile, and execute programs written in their invented or chosen languages. *Each student on a team will receive the same score for the team project.* Each project involves:

- Invent a new programming language or choose a subset of an existing language.
- Develop a grammar for the language.
- Generating a compiler for the language using the ANTLR compiler-compiler. Other components may be borrowed from the compiler code given in the class.

A **minimally acceptable compiler** project has at least these features:

- Two data types with type checking.
- Basic arithmetic operations with operator precedence.
- Assignment statement.
- A conditional control statement (e.g., IF).
- A looping control statement.
- Procedures or functions with calls and returns.
- Parameters passed by value or by reference.
- Basic error recovery (skip to semicolon or end of line).
- Nontrivial sample programs written in the source language.
- Generate Jasmin assembly code that can be successfully assembled.
- Execute the resulting .class file.
- No crashes (e.g., null pointer exceptions).

**Note:** Taking an existing compiler and simply replacing the source language’s keywords is not an acceptable project.

Each team will give an oral presentation at the end of the semester that includes a demo of its compiler. The rest of the class (along with the instructor) will score each presentation based on a given set of criteria. *Each student on a team will receive the same score for the project.*

Each team will write a report (5-10 pp.) that includes:

- A high-level description of the design of the compiler with UML diagrams of the major classes.
- The grammar for your source language, either as syntax diagrams or in BNF.
- Code templates that show the Jasmin assembly code your compiler generates for some key constructs of the source language.

## Grading Information

Individual total scores will be computed with these weights:

30%	Assignments*
35%	Compiler project*
15%	Midterm exam**
20%	Final exam**

\* *team scores*

\*\* *individual scores*

Course grades will be based on a curve. The median total score will earn a B-. Approximately one third of the class will earn higher grades, and another one third will earn lower grades.

## Postmortem Report

At the end of the semester, each student must also turn in a short (1 or 2 pages) **individual postmortem report** that includes:

- A brief description of what you learned in the course.
- An assessment of your accomplishments for your project team on the assignments and the compiler project.
- An assessment of each of your other project team members.

Only the instructor will see these reports.

## Technology Requirements

Students are required to have an electronic device (laptop, desktop, or tablet) with a camera and microphone. SJSU has a free [equipment loan program](https://www.sjsu.edu/learnanywhere/equipment/index.php) available for students:

<https://www.sjsu.edu/learnanywhere/equipment/index.php>

Students are responsible for ensuring that they have access to reliable Wi-Fi during tests. If students are unable to have reliable Wi-Fi, they must inform the instructor, as soon as possible or at the latest one week before the test date to determine an alternative. See [Learn Anywhere](#) website for current Wi-Fi options on campus.

## University Policies

Per University Policy S16-9, university-wide policy information relevant to all courses, such as academic integrity, accommodations, etc. will be available on Office of Graduate and

Undergraduate Program's [Syllabus Information web page](http://www.sjsu.edu/gup/syllabusinfo/) at <http://www.sjsu.edu/gup/syllabusinfo/>.

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### Course Schedule (subject to change with fair notice)

Week	Dates	Topics
1	Aug 21 Aug 23	Overview of the course What are compilers and interpreters? <i>Form programming teams</i> Syntax diagrams A simple scanner Basic scanning algorithm <b>Lab: Scanner</b>
2	Aug 28 Aug 30	A simple parser Top-down recursive-descent parsing Symbol tables Parse trees Parse assignment statements and expressions Parse control statements Visit parse tree nodes <b>Lab: Parser</b>
	Sept 4	<i>Labor Day holiday (no classes)</i>
3	Sep 6	Execute assignment statements and expressions Execute control statements <b>Lab: Execute simple programs</b>
4	Sep 11 Sep 13	Syntax and semantics A simple DFA scanner BNF grammars for programming languages
5	Sep 18 Sep 20	The ANTLR compiler-compiler Generate a scanner and a parser with ANTLR ANTLR parse tree visitor interfaces An ANTLR-based Pascal interpreter Execute statements and expressions with visitors <b>Lab: ANTLR 4 grammar</b>
6	Sep 25 Sep 27	Declarations and the symbol table Scope and the symbol table stack Multipass compilers Parsing array and record declarations Strong typing and type checking Cross-reference listing <b>Lab: Pascal interpreter</b>

Week	Dates	Topics
7	Oct 2 Oct 4	Runtime memory management, part 1 The runtime stack and stack frames Programs, procedures, and functions Procedure and function calls
8	Oct 9 Oct 11	<b>Midterm exam: Monday, October 9</b> The Java Virtual Machine (JVM) architecture Jasmin assembly language
9	Oct 16 Oct 18	Code templates and code generation Code for expressions Code for assignment statements Code for control statements
10	Oct 23 Oct 25	Code for procedure and function calls Code to call <code>printf()</code> Code for arrays and records <b>Lab: Code generation</b>
11	Oct 30 Nov 1	Code to pass parameters by value and by reference A pass-by-reference hack Code optimization and register allocation Compiling object-oriented languages
12	Nov 6 Nov 8	Runtime libraries Backend compiler architecture Static vs. dynamic scoping Runtime memory management, part 2 Garbage collection algorithms
13	Nov 13 Nov 15	A source-level debugger A simple debugger command language An integrated development environment (IDE) A language converter: Pascal to Java Language conversion challenges <b>Lab: Language converter</b>
14	Nov 20 Nov 22	Context-free vs. context-sensitive grammars Shift-reduce parsing Bottom-up parsing with yacc and lex
15	Nov 27 Nov 29	<i>Project presentations</i>
16	Dec 4	Project lab
	Dec 14	<b>Final exam: Thursday, Dec. 14</b> Time: 2:45 – 5:00 PM