

SECTION I

2008/09

BUDGET AND RESOURCE ALLOCATION PLAN ACADEMIC AFFAIRS DIVISION

The Academic Affairs Division (AAD) Resource Allocation Plan accounts for budgeted CSU Operating Fund (previously titled “General Fund”) resources and the allocation of these resources to the colleges and units within the AAD. Detailed resource allocations are provided in Sections 2 – 7 of this binder. Section 8 provides a summary accounting for the total CSU Operating Fund (abbreviated to CSUOF) beginning base budget for each of the allocated colleges and base-budget (i.e., AVP) units.

This section explains the AAD base budget, our enrollment targets that drive the budget allocation processes, and the one-time allocations that are included in the 2008/09 CSU Operating Fund budget. It also provides a description of “Principles of Allocation” to explain the premises upon which CSUOF budgets are assigned to allocated units.

For 2008/09, the AAD CSU Operating Fund base budget of \$118,467,709 was reduced by \$4.2 million, or 3.6% from 2007/08, with no change to the assigned enrollment target of 23,699. The AAD received substantial additional one-time enrollment funding of \$7.9 million from the university, plus nearly \$2.8 million from the division roll-forward, for a total of \$10.7 million in supplemental enrollment funding for the year. Of this total, \$2.0 million was used for this year only to back-fill the base budget reduction, and \$8.7 million was used to meet the projected excess enrollment of 3,376 FTES. The projected total FTES enrollment at SJSU in 2008/09 exceeds 27,000, which is 14% above the CSU assigned enrollment target of 23,699. Beyond the enrollment funding, the AAD received new base funding to support University Planning Council (UPC) initiatives, additional international advising staff, Learning Assessment Resources Center (LARC) operations, and Academic Senate Executive Committee meetings. The AAD also received university one-time funding for UPC activities, the Accessible Technology Initiative (ATI), and planning activities for the College of Education Doctorate in Education (Ed.D.) program.

In sum, in addition to the continuing budget constraints imposed by ever-increasing instructional and non-instructional costs (e.g., health care costs), the AAD CSU Operating Fund budget is extremely strained in 2008/09 due to a combination of reduced state budget allocations and overwhelming student enrollment in the 2008/09 academic year. Even more ominous, the broader national economic climate and the deteriorating fiscal status within the State of California foreshadow even larger financial concerns in future years.

CSU OPERATING FUND RESOURCES

The CSU allocates CSUOF resources to campuses using the base-budget concept. With each succeeding year, the base budget is adjusted to reflect changes in the following:

1. Targeted FTES
2. Fee revenue from student enrollments
3. Allocations to fund special CSU initiatives
4. Funding for campus strategic planning priorities (University Policy S05-10)
5. CSU budget reductions

Display I provides a summary of the instructional units' beginning base budgets, base budget adjustments, and various one-time allocations, all broken down by unit.

Display I 2008/09 Academic Budget Plan

1	A	C	D	E	F	G	H	I	J	K	L	M
2	College	08/09 Starting Base Funding 7/1/08	Sabbatical Leaves	08/09 New Base Funding	Adjusted 08/09 Base Budget (C+D+E)	Sabbatical Leaves Funding	08/09 MUSE Funding	08/09 One-Time Enrollment Funding	07/08 Roll-Forward Allocation	08/09 One-Time Allocations	08/09 Total Available Funding (F+H+I+J+K+L)	
3												
4	Applied Sci & Arts	\$ 16,678,015	\$ (159,978)		\$ 16,518,037	\$ 137,124	\$ 110,280	\$ 2,077,409	\$ 262,630	\$ 104,145	\$ 19,209,625	
5												
6	Business	\$ 10,921,859	\$ (91,416)		\$ 10,830,443	\$ 91,416	\$ 41,355	\$ 518,188	\$ 84,090	\$ 18,283	\$ 11,583,775	
7												
8	Education	\$ 7,820,038	\$ (68,562)		\$ 7,751,476	\$ 45,708	\$ 20,677	\$ 268,645	\$ -	\$ 197,986	\$ 8,284,492	
9												
10	Engineering	\$ 12,429,619	\$ (91,416)		\$ 12,338,203	\$ 45,708	\$ 13,785	\$ 994,589	\$ 5,903	\$ -	\$ 13,398,188	
11												
12	Humanities & the Arts	\$ 18,745,816	\$ (182,832)	\$ 172,133	\$ 18,735,117	\$ 159,978	\$ 110,280	\$ 2,035,443	\$ 555,609	\$ 84,066	\$ 21,680,493	
13												
14	Science	\$ 16,731,465	\$ (114,270)		\$ 16,617,195	\$ 91,416	\$ 6,892	\$ 1,032,055	\$ 330,145	\$ 118,667	\$ 18,196,370	
15												
16	Social Sciences	\$ 13,408,917	\$ (114,270)		\$ 13,294,647	\$ 68,562	\$ 213,667	\$ 1,542,269	\$ 479,324	\$ 32,425	\$ 15,630,894	
17												
18	Athletics/All University	\$ -	\$ -		\$ -	\$ -	\$ 6,892				\$ 6,892	
19												
20	Unallocated	\$ -	\$ -		\$ -	\$ -					\$ -	
21												
22	College Totals	\$ 96,735,729	\$ (822,744)	\$ 172,133	\$ 96,085,118	\$ 639,912	\$ 523,828	\$ 8,468,598	\$ 1,717,701	\$ 555,572	\$ 107,990,729	
23												
24	Other Academic Units											
25	Student Writing Skills	\$ 162,567		\$ -	\$ 162,567				\$ 1,986		\$ 164,553	
26	Moss Landing Marine	\$ 1,876,475		\$ -	\$ 1,876,475				\$ (39,857)		\$ 1,836,618	
27	Nuclear Science	\$ 167,260		\$ -	\$ 167,260				\$ (16,444)		\$ 150,816	
28	Ctr for Economic Educ	\$ 27,804		\$ -	\$ 27,804				\$ 12,834		\$ 40,638	
29	Other Academic Units Total	\$ 2,234,106	\$ -	\$ -	\$ 2,234,106	\$ -	\$ -	\$ -	\$ (41,481)	\$ -	\$ 2,192,625	
30												
31	Academic Adm Offices											
32	Academic Senate	\$ 82,308		\$ 1,200	\$ 83,508				\$ 14,616	\$ -	\$ 98,124	
33	Acad Technology	\$ 2,615,052		\$ 243,535	\$ 2,858,587				\$ 167,466	\$ 871,243	\$ 3,897,296	
34	Faculty Affairs	\$ 739,722		\$ -	\$ 739,722				\$ 21,912	\$ 40,000	\$ 801,634	
35	Grad Stds & Rsch	\$ 461,020		\$ 25,000	\$ 486,020				\$ 34,431	\$ 15,000	\$ 535,451	
36	Institutional Rsch	\$ 711,348		\$ -	\$ 711,348				\$ 7,402	\$ 51,955	\$ 770,705	
37	Int'l & Ext Stds	\$ 701,954		\$ 130,000	\$ 831,954				\$ 49,978	\$ 228,433	\$ 1,110,365	
38	Office of the Provost	\$ 620,841		\$ -	\$ 620,841				\$ -	\$ 17,000	\$ 637,841	
39	Acad Plng & Bdgt	\$ 725,319		\$ -	\$ 725,319				\$ -	\$ -	\$ 725,319	
40	University Planning Council	\$ -		\$ 459,825	\$ 459,825				\$ -	\$ -	\$ 459,825	
41	AAD Division-Wide				\$ -				\$ -	\$ 948,706	\$ 948,706	
42	Undergrad Stds	\$ 1,038,144		\$ 206,720	\$ 1,244,864				\$ 147,163	\$ 198,447	\$ 1,590,474	
43	LARC	\$ 426,950		\$ 60,000	\$ 486,950				\$ -	\$ -	\$ 486,950	
44	Acad Adm Offices Total	\$ 8,122,658	\$ -	\$ 1,126,280	\$ 9,248,938	\$ -	\$ -	\$ -	\$ 442,968	\$ 2,370,784	\$ 12,062,690	
45												
46	Library	\$ 8,494,891	\$ (22,854)	\$ -	\$ 8,472,037	\$ 22,854	\$ -	\$ -	\$ 39,496	\$ -	\$ 8,534,387	
47												
48	Division-Wide Base Adjustment	\$ -			\$ -							
49	Division-Wide Reserve	\$ 2,549,133	\$ 845,598	\$ (427,000)	\$ 2,967,731	\$ (662,766)	\$ (6,893)	\$ (1,072,263)	\$ 1,476,904	\$ (2,006,403)	\$ 696,310	
50												
51	Total	\$ 118,136,517	\$ -	\$ 871,413	\$ 119,007,930	\$ -	\$ 516,935	\$ 7,396,335	\$ 3,635,588	\$ 919,953	\$ 131,476,741	
52												
53	Work Study	\$ 331,192		\$ -	\$ 331,192	\$ -	\$ -	\$ -		\$ -	\$ 331,192	
54												
55	AAD Total Budget	\$ 118,467,709	\$ -	\$ 871,413	\$ 119,339,122	\$ -	\$ 516,935	\$ 7,396,335	\$ 3,635,588	\$ 919,953	\$ 131,807,933	
56					\$ 119,339,122							
57	University Funding Increases to AAD											
58	Academic Senate			\$ 1,200	\$ 1,200						\$ 1,200	
59	IES - International Advisors			\$ 130,000	\$ 130,000						\$ 130,000	
60	University Planning Council			\$ 615,209	\$ 615,209					\$ 293,008	\$ 908,217	
61	LARC			\$ 60,000	\$ 60,000						\$ 60,000	
62	ATI			\$ 65,004	\$ 65,004					\$ 420,239	\$ 485,243	
63	Ed.D. Program Devel.			\$ -	\$ -					\$ 189,706	\$ 189,706	
64	CommUniversity			\$ -	\$ -					\$ 17,000	\$ 17,000	
65	Growth			\$ -	\$ -			\$ 7,913,270			\$ 7,913,270	
66	One-Time Funding Reserve Held by University			\$ -	\$ -			\$ -			\$ -	
67	Total Funding Increase			\$ 871,413	\$ 871,413		\$ -	\$ 7,913,270	\$ 3,635,588	\$ 919,953	\$ 9,704,636	

2008/09 CSU Operating Fund Base Budget

The base-budget concept is, in essence, a “Dollars-for-FTES” budget with campus autonomy to establish expenditure plans. Typically, as the university’s FTES target increases or decreases, the budget fluctuates in the same direction, although not necessarily at the same rate. This year, based on the long-term budget compact signed by the CSU and the State of California in 2004, the CSU and SJSU anticipated receiving a 2.5% California-resident FTES enrollment target increase. Due to the fiscal crisis in the State of California, however, the CSU as a whole maintained a flat overall FTES target compared to last year, and in turn assigned SJSU the same FTES target in 2008/09 that we were assigned in 2007/08. Thus, the campus 2008/09 FTES target for California resident students remains at 22,460. Adding in the university’s 1,239 non-resident FTES target, ***the university’s total CSU-assigned FTES target for 2008/09 is 23,699.*** As a result of the flat enrollment target assignment, SJSU received no additional base funding for enrollment in 2008/09.

At the same time our FTES target remained flat, SJSU was also required to reduce its base budget by approximately \$6 million. The AAD portion of the university’s base reduction was \$4.2 million, of which \$3.6 million was drawn from colleges (which were reduced by 3.6%), with the remainder drawn from AVP and other base-budgeted units (reduced by 5%) and the University Library (reduced by a lesser 3% amount).

Display I above summarizes the AAD CSU Operating Fund budget for the entire division, including the beginning base budget, base budget adjustments, and also one-time supplemental funding allocations. Typically, college base budgets vary due to adjustments in enrollment targets, with increased targets generating growth funding increases and vice-versa; for 2008/09, no such adjustments were made. All other units (University Library, Academic Technology, International Programs & Services, etc.) have “fixed” base budgets that are adjusted only under special circumstances, typically not tied to enrollment.

The 2008/09 AAD CSUOF budget represents the university’s continuing commitment to base budget funding for the university’s instructional programs. As seen in Display I, the 2008/09 AAD beginning base budget is \$118.5 million (including Work Study) after adding in compensation increases of \$5.8 million and reducing \$4.2 million for the division’s share of the university’s base budget reduction. Due to a change in the sabbatical leave process per CFA contract, sabbatical funding totaling \$845,598 previously included in college base budgets was deallocated from colleges for annual distribution as one-time funding allocations; beginning in 2008/09, funding to the colleges and the library for approved one-semester full-time sabbatical leaves will be allocated within one-time funding sections of budget tables. Finally, the AAD received an additional \$871,413 in new base funding for a variety of activities, as follows:

- \$615,209 to support the strategic planning UPC initiatives;
- \$130,000 to fund two international advisor positions;
- \$60,000 for the Learning Assessment Resources Center (LARC) operations;
- \$65,004 for an Information Technology Consultant support position in Academic Technology;
- \$1,200 to support executive committees of the Academic Senate.

Thus, after these adjustments, the total adjusted 2008/09 CSUOF base budget for the Academic Affairs Division is \$119,339,122. This represents a 2.4% net increase in base funding over the 2007/08 total of \$116,553,997, even after absorbing the \$4.2 million base reduction to the division. The majority of the net funding increase is tied directly to compensation increases for faculty and staff, which totaled \$5.8 million.

Base Budget Allocation Process and Funding Adjustments

Consistent with practice dating to 2004, the AAD budget allocation process uses a dollar-based budget model. The model starts with the 2007/08 **ending** base budget and applies a series of funding adjustments as appropriate to that particular year. Examples of major types of adjustments sometimes seen include compensation increases, changes to budgeting practices based on new university or academic policies (such as sabbatical leaves in 2008/09), base reductions imposed by the CSU, and base increases to fund state/CSU-assigned enrollment growth targets. It is important to note the distinction between the **beginning** and **ending** base budget; as any given fiscal year progresses, additional allocations such as compensation increases are made to the AAD base budget, which results in the ending base budget typically being greater than the beginning base budget. In a typical year when growth funding is provided, the ending base budget figures are used to calculate enrollment-based budget allocation adjustments.

NOTE This passage describes the process that is normally used to determine enrollment-driven adjustments to instructional units' base budgets. Due to 2008/09's unprecedented combination of excess enrollment pressures coupled with base budget reductions, much of this discussion is not applicable this year. This text is retained in this year's budget binder for historical reference and to explain the "typical" practices used.

Using as a starting point the prior year's ending base budget, two types of funding adjustments are typically applied to the instructional units' CSUOF base budgets. First, past practice calls for colleges that fell short of their respective enrollment target in the prior year to have a base budget reduction ("payback") for the following year. The amount of the base reduction is calculated as 50% of the FTES under-enrollment calculated against the relative funding basis for that particular college. In some years, depending on circumstances, colleges that normally would incur a base payback may be provided the opportunity to cover their shortfall payback using one-time funds. These exceptions are not common, however, and are typically granted only in unique and unforeseen circumstances that need to be accommodated; the opportunity to cover enrollment shortfall paybacks using one-time funding should not be anticipated as a change in practice for subsequent years.

Second, colleges with changes to their enrollment targets for a given year have base budget growth funding increases (or decreases) to support their higher (or lower) assigned targets. New target assignments are not necessarily uniform across colleges, however, but are developed based on discussions with college Deans that focus on factors such as student demand, faculty and room capacity, and recent historical enrollment trends. Colleges that have target reductions in any given year incur a base budget reduction that contributes funds for reallocation to colleges that have taken on enrollment target increases.

END NOTE

2008/09 One-Time Allocations and Roll-Forward Allocations

While the preceding discussion highlighted the AAD CSU Operating Fund base budget, the base budget alone does not describe sufficiently the entire AAD budget allocations and funding status. Several major additional items shown in Display I also warrant discussion.

Most significantly, approximately \$10.7 million in one-time enrollment funding has been allocated to the colleges to cover backfilled base budget reductions, MUSE courses, and projected excess enrollments totaling 3,376 FTES for 2008/09 (see Display II-A). The sources of this funding are threefold: \$7.9 million was provided by the university, \$1.1 million from the AAD's central 2007/08 roll-forward balance, and the final \$1.7 million through college CSUOF

roll-forward balances from 2007/08 – with the stipulation that the roll-forwards be used as enrollment funding to contribute to colleges’ pressing need to serve the unprecedented student enrollment demand this year. Included in the one-time funding provided for enrollment is \$523,828 for MUSE sections (Display I, Column I), projected to generate a total of 129 FTES. Funding for MUSE courses was provided to the colleges at the overall average instructional funding rate for the division (\$4,054 per FTES), multiplied by the MUSE FTES targets (1.7 FTES per course) that were determined jointly between the colleges and the Office of Undergraduate Studies.

Display II-A: 2008/09 Excess Enrollment One-Time Funding					
08-09 PROJECTED Excess Enrollment Funding Needed					
College	2008/09 Target	2008/09 Projected CY Census	Projected Excess FTES	% of Target	Funding Needed*
CASA	3,982	4,725	743	118.7%	2,104,550
Business	3,200	3,348	148	104.6%	412,236
Education	1,853	1,908	55	103.0%	127,472
Engineering	2,394	2,625	231	109.6%	755,389
H&A	4,724	5,628	904	119.1%	2,320,655
Science	3,407	3,952	545	116.0%	967,087
Social Sciences	4,044	4,818	774	119.1%	1,974,365
Other/Div-Wide	95	70	(25)		
	23,699	27,075	3,376	114.2%	8,661,753

* Calculated based on May, 2007 analysis of 2007/08 actual instructional expenditure rate by college.

2008-09 ALLOCATED One-Time Enrollment Funding						
College	Base Enrollment Backfill Needed	Excess FTES Funding Needed	One-Time Enrollment Funding Needed	AAD Roll-Forward Enrollment Supplement	Backfill and Excess Enrollment Supplement	Total One-Time Enrollment Funding Allocation
CASA	345,769	2,104,550	2,450,319	262,630	2,187,689	2,450,319
Business	231,397	412,236	643,633	84,090	559,543	643,633
Education	161,850	127,472	289,322	-	289,322	289,322
Engineering	258,888	755,389	1,014,277	5,903	1,008,374	1,014,277
H&A	382,663	2,320,655	2,703,318	557,595	2,145,723	2,703,318
Science**	345,705	967,087	1,312,792	273,844	1,038,948	1,312,792
Social Sciences	273,729	1,974,365	2,248,094	492,158	1,755,936	2,248,094
Other/Div-Wide	-	-	-	1,072,263	(1,072,263)	-
	2,000,001	8,661,753	10,661,754	2,748,483	7,913,271	10,661,754

**Science roll-forward exclusive of MLML.

In addition to enrollment funding, another one-time allocation of \$662,766 (Display I, Column H) funds 29 full-time one-semester faculty sabbatical leaves in 2008/09. As noted previously, this allocation represents a significant change in practice, due to new CFA contract language that has resulted in a modification to the university’s process for awarding sabbatical leaves.

The Academic Affairs Division needs to fund a wide variety of annual obligations and one-time commitments that vary in one way or another from one year to the next. Typically, the “off-the-top one-time list” totals \$2.0-\$3.0 million per year; in 2008/09, the total one-time activities budget allocations are \$2.9 million (Display I, Column L). In 2008/09, the one-time allocations include \$919,953 in a variety of activities (e.g., UPC, ATI) that have been funded by the university rather than the division. The division’s internally funded allocations for one-time activities total \$2.0 million for 2008/09. Section 5 provides details of the individual items that are funded and the units to which those allocations are made.

Finally, we turn to the discussion of CSUOF roll-forwards. In 2006/07, drawing on lengthy consideration by the Provost's Budget Advisory Committee, the Provost implemented a practice regarding CSUOF roll-forwards that disallows the sum of all roll-forward allocations from exceeding the division-wide roll-forward that is received from the University Budget Office. Display II-B shows that the AAD's division-wide CSUOF roll-forward balance totaled \$4.0 million, an increase over 2007/08, due in part to the prior year's late supplemental \$3.2 million enrollment allocation made in May, 2007. Of the total roll-forward balance in the units, \$367,615 represents SJSURF salary reimbursement obligations, and an additional \$122,931 represents unspent 2007/08 UPC allocations.

As shown in more detail in Display II-C, the distribution of these roll-forward balances varied notably among the colleges and administrative units within the AAD. With one exception, all colleges and AVP units ended 2007/08 with a positive balance. Following analysis by the Provost's Budget Advisory Committee, the Provost accepted the committee's recommendation to follow the preferred historical practice of returning, in most cases, positive roll-forward balances back to those respective units. As a change to typical practice, however, roll-forward allocations within colleges are being returned as salaries rather than O&E, with the stipulation that the colleges are to use those funds as a source of excess enrollment funding to support instructional course offerings.

Finally, the College of Education's substantial annual budget deficit continues to be a particularly difficult matter to resolve. The college exceeded their enrollment target in 2007/08 and is projected to hit their assigned target for 2008/09. One-time supplemental enrollment funding for 2008/09 is expected to ease what is documented as a structural deficit within the college, but that short-term funding is not sufficient to compensate for the base budget shortfall. The college's deficit for 2007/08 was again forgiven and supplemental funding is anticipated to be sufficient to carry the college through the 2008/09 fiscal year. However, approximately \$500,000 in a combination of additional base funding and/or reduced expenditures is needed to bring the college's financial structure back into balance for the long term.

Display II-B: General Fund/CSU Operating Fund Roll-Forward History

	2003/04		2004/05		2005/06		2006/07		2007/08	
	Ending Balance	Roll Fwd Allocation	Ending Balance	Roll Fwd Allocation	Ending Balance	Roll Fwd Allocation	Ending Balance	Roll Fwd Allocation	Ending Balance	Roll Fwd Allocation
AAD Roll-Forward	\$5,250,475		\$4,527,554		\$987,886		\$2,859,976		\$4,003,203	
Colleges	4,103,332	4,103,332	6,387,629	6,387,629	2,517,873	687,158	416,834	861,366	1,890,856	2,030,533
Admin. Units & Library	1,506,500	1,729,746	2,323,194	2,519,910	184,453	143,203	(104,051)	67,168	433,028	453,835
UPC Funding							893,038	0	122,931	37,876
AA Division-Wide	(359,357)		(4,183,270)		(1,714,440)		1,654,155		1,556,388	
Total	5,250,475	5,833,078	4,527,553	8,907,539	987,886	830,361	2,859,976	928,534	4,003,203	2,522,244

Net Roll Fwd to AAD	Display II-C: 2007/08 Roll-Forward Balances and Allocations by Unit					
	Ending Balance (Prelim)	SJSUF Salary Reimburse. Obligations	Balance after Reimburse Funding	UPC Allocated Rolls	Roll Fwd Balance after UPC and Reimburse Funding	0708 Roll-Fwd Allocations
UNIT						
Applied Science & Arts	437,009	174,379	262,630		262,630	262,630
Business	87,219	3,129	84,090		84,090	84,090
Education	(759,850)	24,436	(784,286)		(784,286)	0
Engineering	34,115	28,212	5,903		5,903	5,903
Humanities & the Arts	1,274,061	17,752	1,256,310	8,277	1,248,032	557,595
Science	342,233	58,246	283,987		283,987	273,844
Social Science	548,593	56,436	492,158		492,157	492,158
Total Colleges	1,963,380	362,590	1,600,792	8,277	1,592,513	1,676,220
AVP's & LIBRARY						
Academic Senate	14,616		14,616		14,616	14,616
Academic Technology	167,466		167,466	7,972	159,494	167,466
Faculty Affairs	21,912		21,912		21,912	21,912
Grad Studies & Research	34,431		34,431		34,431	34,431
Institutional Research	7,402		7,402		7,402	7,402
Int'l & Extended Stds	49,978		49,978		49,978	49,978
Undergraduate Studies	148,332	1,169	147,163	21,628	125,535	147,163
University Library	39,496		39,496		39,496	39,496
All University	(198)		(198)		(198)	(198)
Total AVPs/Library	483,435	1,169	482,266	29,600	452,666	482,266
Total Academic Units	2,446,815	363,759	2,083,058	37,877	2,045,179	2,158,486
Office of the Provost	(98,144)		(98,144)		(98,144)	(98,144)
Division Wide Provost	1,654,532	3,858	1,650,674		1,650,674	1,575,246
GRAND TOTAL	4,003,203	367,617	3,635,588	37,877	3,597,709	3,635,588

CSU OPERATING FUND RESOURCES DETAIL

Display III provides details of all CSU Operating Fund resources (base and one-time) that are currently budgeted for distribution within the AAD. The CSUOF Budget Summary provides position and salary data for beginning base budget, adjusted base budget, and SJSU and CSU one-time resources. Table 8-1 provides a detailed breakdown of the division's beginning base budget allocations (excluding work study) by resource category.

Beginning Base Budget Resources

There are 1,410.46 FTE positions and \$95,267,582 in 2008/09 Instruction Program salaries allocated to the colleges. While the number of positions decreased from the 2007/08 figure of 1,438.77 positions, the salaries have increased from the 2007/08 figure of \$92,756,236, due largely to compensation increases, after budget reductions. Instruction Program allocations for operating expenses in 2008/09 total \$3,702,253, which is nearly the same as \$3,889,758 that was allocated in 2007/08.

In addition to the Instruction Program allocations, there are 184.68 FTE positions and \$12,496,674 in salaries and \$4,120,875 in operating expenses in the base budgets and related areas of the Academic Senate, Academic Planning and Budgets, Academic Technology, Faculty Affairs, Graduate Studies and Research, Institutional Research, International and Extended Studies, Office of the Provost, Undergraduate Studies, and the University Library.

Finally, there are 35.81 faculty positions and \$1,636,650 in salaries, plus \$912,493 for operating expenses remaining in the AAD Base Reserve. The AAD Base Reserve funds cover UPC base funded initiatives, in addition to a wide variety of one-time obligations mentioned previously. See Section 5 for 2008-09 one-time allocations.

Adjusted Base Budget Resources

Beyond the beginning base budget, the AAD received new base funds for a variety of designated programs: Academic Technology Initiative (Academic Technology); Executive Committee meetings (Academic Senate); International Advisors (IES), and the Learning Assistance Resources Center (Undergraduate Studies). The AAD also received new UPC base funds for the following: First Year Experience (Peer Mentors and OE in Undergraduate Studies); Investment in Faculty (Associate Dean in Graduate Studies); First Year Experience (Student Help Desk in Academic Technology), Investment in Faculty (release time for Student Success allocated from Provost Division-wide); and the Student Writing Center (Humanities and the Arts). A history of base budget funding to support the Academic Affairs Division University Planning Council (UPC) strategic initiatives from 2005/07 to 2008/09 is provided in Table 8-2. (Table 8-2 also includes a history of one-time UPC funding).

New 2008/09 base budget amounts are included on Display I in the Adjusted 2008/09 Base Budget column "F" totaling \$119,339,122 and are highlighted in Display III.

DISPLAY III: CSU OPERATING FUND RESOURCES DETAIL

BEGINNING BASE BUDGET RESOURCES		POSITIONS	SALARIES	OE & E	TOTAL
CSUOF Budget Summary:					
Division	Instructional Faculty	1,151.91	\$78,437,582	\$0	\$78,437,582
Division	Department Chairs & Teacher Coordinators	32.35	3,624,182	0	3,624,182
Division	Management & Supervisory	36.80	4,768,554	0	4,768,554
Division	Instructional Support Staff	319.34	16,546,853	0	16,546,853
Division	Operating Expenses & Equipment		0	5,562,501	5,562,501
Int'l & Ext Stds	International & Extended Studies	11.36	647,407	54,547	701,954
Library	Library	79.19	5,376,318	3,118,573	8,494,891
Total CSU OF Budgets:		1,630.95	\$109,400,896	\$8,735,621	\$118,136,517
ADJUSTED BASE BUDGET RESOURCES		POSITIONS	SALARIES	OE & E	TOTAL
New Designated Base Funding:					
Division	UPC Student Success Release Time	0.70	\$32,825	\$0	\$32,825
Academic Senate	Academic Senate Exec Cmte Mtgs	0.00	0	1,200	1,200
Academic Technology	UPC FYE Academic Success Ctr	0.00	0	178,531	178,531
Academic Technology	ATI	1.00	65,004	0	65,004
Graduate Stds & Rsch	UPC Investment in Faculty-Assoc Dean	0.20	25,000	0	25,000
Humanities & the Arts	UPC Student Writing Center (SWC) *	2.93	120,133	52,000	172,133
Int'l & Ext Stds	International Advisors	2.00	130,000	0	130,000
Undergraduate Studies	UPC FYE Academic Success Ctr	0.33	15,000	191,720	206,720
Undergraduate Studies	Learning Assist Resource Ctr (LARC)	0.00	0	60,000	60,000
		7.16	\$387,962	\$483,451	\$871,413
* SWC positions include 1.0 coordinator plus vacant rate faculty positions.					
Division	Work Study (Federal Portion only)	0.00	\$331,192	\$0	\$331,192

SJSU AND CSU ONE TIME RESOURCES		POSITIONS	SALARIES	OE & E	TOTAL
SJSU Resources:					
Division	CommUniverCity	0.00	\$0	\$17,000	\$17,000
Division	Enrollment Growth Funding One-Time	173.13	7,913,270	0	7,913,270
Education	Ed.Doctorate Degree	2.00	179,706	10,000	189,706
Academic Technology	ATI Release Time, Student Asst, OE & E *	0.00	167,991	252,248	420,239
Academic Technology	UPC Classroom Refresh	0.00	0	236,000	236,000
Faculty Affairs	UPC Investment in Faculty	0.00	0	25,000	25,000
Undergraduate Studies	UPC FYE Academic Success Ctr	0.00	0	15,000	15,000
Undergraduate Studies	UPC SAIL Team SJSU Studies	0.37	17,008	0	17,008
		175.50	\$8,277,975	\$555,248	\$8,833,223
CSU Resources:					
Division	CSU Statewide Academic Senators	0.50	0	24,844	24,844
Division	Academic Senators Travel Reimbursement	0.00	0	8,500	8,500
Engineering	Engineering Academies Outreach Initiative	0.00	0	65,625	65,625
Humanities & Arts	Resident Director International Program	1.00	70,995	0	70,995
Science	Transforming Course Design Project	0.00	0	2,400	2,400
Science	COAST Initiative	0.40	0	11,877	11,877
Undergraduate Stds	Conference Travel Reimbursement Proficiency in the First Year	0.00	0	1,736	1,736
TOTALS:		1.90	\$70,995	\$114,982	\$185,977
* ATI positions include 1.0 ITC plus vacant rate faculty positions.					

DESIGNATED BASE UNIT FUNDING

Designated Base Unit Funding as shown on Display IV is included within the total 2008/09 base CSU Operating Fund budget. This table is informational only, and provides a summary of designated funding for programs that reside in various colleges and units. Funding for these items are included within the cumulative base budget allocations shown in Table 8-1.

DISPLAY IV: DESIGNATED BASE UNIT FUNDING

DESIGNATED PROGRAM FUNDING SUMMARY		POSITIONS	SALARIES	OE & E	TOTAL
Division	Academic Initiatives (LPP Grants)	0.00	0	150,000	150,000
Division	Assessment	1.60	72,000	0	72,000
Division	UPC Student Success Release Time	6.80	310,000	0	310,000
Division	UPC Enrollment Mgmt Trng & Advising	0.00	0	45,000	45,000
		8.40	382,000	195,000	577,000
Education	Master Teacher Contract	0.00	0	165,000	165,000
Engineering	Minority Engineering Program	0.00	0	32,177	32,177
Humanities & the Arts	ILE	1.00	45,708	0	45,708
Science	CSU PERB Charges	0.00	0	10,000	10,000
Science	ILE	1.00	45,708	0	45,708
		1.00	45,708	10,000	55,708
Academic Technology	Center for Faculty Development (CFD)	3.50	249,697	29,529	279,226
Academic Technology	UPC Investment in Faculty (CFD)	0.00	0	20,000	20,000
Academic Technology	UPC Technology Infrastructure (CFD)	1.00	57,000	0	57,000
Academic Technology	UPC FYE Academic Success Ctr	1.00	58,000	21,000	79,000
Academic Technology	Website Design & Maintenance	3.00	191,652	17,718	209,370
		8.50	556,349	88,247	644,596
Faculty Affairs	Junior Fac Dev Grants	1.30	59,423	40,124	99,547
Faculty Affairs	Faculty Training	0.00	0	2,000	2,000
		1.30	59,423	42,124	101,547
Graduate Studies	Graduate Equity Fellowships	0.00	0	108	108
Graduate Studies	UPC Investment in Faculty-Assoc Dean	0.40	50,000	0	50,000
		0.40	50,000	108	50,108
Int'l & Extended Studies	E Campus	2.00	152,998	2,023	155,021
Int'l & Extended Studies	International Programs & Services	8.46	441,182	15,486	456,668
Int'l & Extended Studies	International Projects	0.90	53,227	37,038	90,265
		11.36	647,407	54,547	701,954
Provost/VP AA	Academic Planning & Budgets	9.40	721,315	4,004	725,319
Undergraduate Studies	Accreditation	0.00	0	75,000	75,000
Undergraduate Studies	Community Service Learning	1.00	45,708	16,319	62,027
Undergraduate Studies	Learning Assist Resource Ctr (LARC)	5.45	291,950	135,000	426,950
Undergraduate Studies	UPC FYE Academic Success Ctr	2.00	96,800	25,000	121,800
		8.45	434,458	251,319	685,777
	TOTAL	49.81	\$2,942,368	\$842,526	\$3,784,894

NON-CSU OPERATING FUND RESOURCES

While the majority of the financial support for the AAD is provided by the CSUOF budget, resources from instructionally-related activities (IRA) and miscellaneous course fees have become a substantial part of the financial planning of the colleges and departments. The resources generated from these sources go directly to the college or department that has earned, collected, or been allocated the revenue. Year-end balances (positive or negative) in these accounts may be rolled forward as a starting balance for the next fiscal year.

Instructionally-Related Activities (IRA)

IRA are those activities and laboratory experiences that are at least partially sponsored by an academic discipline or department and that are, in the judgment of the University President, integrally related to its formal instructional offerings. The funding source is the IRA fee paid by enrolled students which fluctuates depending on headcount, but has averaged approximately \$1 million over the past several years. An IRA Committee, composed of four faculty/administrators appointed by the University President and four students appointed by the Associated Students President, receives and evaluates requests from eligible groups and then makes allocation recommendations to the University President. Display V gives an historical overview of IRA allocations.

DISPLAY V: History of IRA Allocations				
Programs	2005/06	2006/07	2007/08	2008/09
Applied Sciences & Arts				
Access Magazine	\$9,769	\$11,270	\$9,710	\$8,829
Radio-TV/News (RTNC)	\$17,397	\$21,061	\$15,000	\$13,640
Spartan Daily	\$38,201	\$46,247	\$39,800	\$36,191
Humanities & the Arts				
CADRE Laboratory	\$39,916	\$48,323	\$39,630	\$36,036
Graphic Design	\$3,457	\$4,185	\$3,606	\$3,279
SJSU Galleries	\$38,019	\$46,027	\$39,753	\$36,148
Music Council/Dance	\$130,776	\$158,320	\$136,456	\$124,081
Drama Productions	\$30,985	\$37,511	\$30,000	\$27,279
One Time Allocation			\$10,000	
KSJS-FM	\$36,092	\$43,694	\$37,643	\$34,229
RTVF Production	\$33,889	\$41,027	\$35,401	\$32,191
One Time Allocation			\$10,000	\$53,000 (a)
Social Sciences				
SJSU Salzburg Scholars	----	----	----	\$1,400
Forensics	\$48,422	\$58,621	\$50,552	\$45,967
Model UN	\$6,547	\$7,825	\$0	\$3,208
Collab Neighborhood Plng	\$8,874	\$11,750	\$10,121	\$9,203
CommUniverCity	----	----	----	\$21,120
Allocation Subtotals	\$442,344	\$535,861	\$467,672	\$485,801
IRA Reserve	\$15,000	\$14,651	\$12,539	\$15,000
6% Accounting Fee	\$73,098	----	----	----
Allocation Totals	\$530,442	\$550,512	\$480,211	\$500,801

(a) An additional one time allocation in the amount of \$53,000 was approved for the purchase of video equipment.

Miscellaneous Course Fees

Miscellaneous course fees are a fixed amount charged to each student enrolled in a course to offset the cost of instructional materials, activities, or facilities provided by the University and are utilized in connection with the course. Requests for new fees or adjustments to existing fees are first reviewed by the university's Chief Financial Officer (CFO) Review Team and the Campus Fee Advisory Committee (CFAC). Requests that are recommended for implementation are submitted to the President for approval. Under a new Executive Order (EO 1034) implemented in 2008, Presidents have been delegated authority to create and/or adjust many fees at the campus level that previously required system-wide approval. Funds collected as miscellaneous course fees go to the home department of the course that requires the fee.

PRINCIPLES OF ALLOCATION

To assure the most equitable distribution of the resources available, the CSU Operating Fund allocation process is based on the following principles.

- ❑ The allocation process must allow adjustments for on-going program costs and must provide flexibility so that units can adapt to changes in student enrollment patterns (i.e., one goal is to avoid introducing instability in college or department level planning).
- ❑ The allocation process must be responsive to new academic initiatives, differences in the curricular structure of the academic departments and programs, faculty workload patterns, and unique organizational requirements of the colleges and support units.
- ❑ The allocation process must consider performance and workload indicators (e.g., assigned FTES, SFR, majors, mode and level FTES, equipment inventory, positions, prior year expenditures, and special program needs) to determine the resources to be allocated.
- ❑ The allocation process must identify resource categories for allocation (e.g., salaries, operating expenses, work study, etc.) that enable maximum flexibility at the college and department level for management of allocated resources, including expenditures across multiple fiscal periods and consideration of roll forward of allocation balances (surpluses or deficits).

Resources allocated are identified as base-budget or one-time allocations:

- ❑ Base-budget allocations are ongoing budget allocations based on one of the following:
 - a. Allocations resulting from the performance and workload indicators for colleges;
 - b. Prior year final base budget for the Academic Senate, Academic Planning and Budgets, Academic Technology, Center for Economic Education, Faculty Affairs, Graduate Studies and Research, Institutional Research, International and Extended Studies, Moss Landing Marine Laboratories, Nuclear Science, Office of the Provost, Student Writing Skills, Undergraduate Studies, and the University Library.
 - c. Established allocation levels to cover the needs of administrative offices and the Academic Senate; or
 - d. Budgeted funding designated for a specific program or initiative.
- ❑ One-time allocations are annual allocations for a specific instructional need or academic priority not covered by the base-budget allocation but critical to delivering quality instruction (e.g., faculty search support, WASC administrative support, faculty development, etc.). One-time allocations carry no future funding commitment.

PREMISES ON WHICH INSTRUCTIONAL PROGRAM RESOURCES ARE ALLOCATED

As suggested in prior years' budget binders, the Academic Affairs Division has and will continue to reevaluate its allocation policies and procedures on an on-going basis. The dollar-based budget model developed in 2004/05 still continues, with allocations derived from an evolution of the prior year's budget, "tweaked" to reflect enrollment patterns, supply/demand for course enrollments, decanal feedback regarding college capacity to meet enrollment demand, and other budget necessities. The allocation policies and procedures are defined in the remainder of this section.

Faculty Salary Allocations

The dollar-based faculty salary allocation process, which differs notably from the prior position-based process, was developed in 2004/05 and remains in effect. Base salary budgets are computed based on the prior year's salary budget adjusted to reflect changes in college enrollment targets, and to reflect any college Dean's decisions to reallocate funding between expense categories (i.e., salaries vs. OE), based on their various college needs and opportunities. Actual FTE Faculty (FTEF) are calculated by counting the number of full-time faculty appointments in a particular unit (department, college, etc.), subtracting their salaries from the unit's salary budget, and dividing the remaining salary budget by the vacant funding rate for temporary faculty (\$45,708 for 2008/09). Additional one-time funding allocations of faculty positions are made to those programs that serve an all-university function.

Graduate Supervision and Intensive Learning Experience (ILE)

As has been the case for several years, funding for two FTE faculty positions are included in the college base budgets to support workload related to graduate supervision. In addition, one FTE faculty position is also included in the base budget of two colleges – Science (Math) and Humanities and the Arts (LLD) – to support ILE goals.

Sabbatical Leaves

The AAD provides one-time funding for full-time, one semester, sabbatical leaves of up to 12% of the total number of eligible campus faculty unit employees. In 2008/09, 29 full-time, one semester sabbatical leaves were approved and funded. Per CSU-CFA contract, all sabbatical applications for one-half (1/2) of full salary shall be approved provided they meet established criteria. The one-half (1/2) of full salary sabbatical leaves without pay are considered to be essentially "self-funding" since the full salaries for the faculty who are on leave remain in the college budget and are available to hire temporary replacement lecturers to teach course sections. See Table 2-V in Section 2 for details of the sabbatical leave distribution.

MPP Administration

MPP Administration positions are required in order to perform administrative management functions for the division and the university. The number of MPP positions to be staffed is based on the complexity of colleges and units. They are used in colleges and AVP offices. At a minimum, MPP positions are assigned as follows:

- ❑ 1.00 MPP position for a dean in each college.
- ❑ 1.00 MPP position for an associate dean in each college.
- ❑ 1.00 MPP position for each AVP office.

FTE Administration (FTEA)

College base budgets include funding for FTE Administration at the college, school, division, and department levels. FTEA is provided for assignments such as non-MPP associate deans, school directors, and department chairs. It is typically funded as twelve-month administrative time based on the number of college and department units to be staffed and the size and complexity of the units. Table 2-II shows the FTEA allocations to the colleges.

The colleges typically distribute the allocated FTEA to meet the particular needs within the college. The recommended FTEA for each department is based on the number of FTEF used in the previous fall semester, using the following figures as a guideline:

- ❑ 0.20 FTEA for each department that used 10.0 or less FTEF.
- ❑ 0.40 FTEA for each department that used 10.1-20.0 FTEF.
- ❑ 0.60 FTEA for each department that used 20.1-30.0 FTEF.
- ❑ 0.80 FTEA for each department that used 30.1-40.0 FTEF.
- ❑ 1.00 FTEA for each department that used 40.1+ FTEF.
- ❑ 2.00 FTEA is allocated to the colleges on a pro rata basis using the FTEF allocation for the current year.

FTEF Used with FTEA

The salary allocated for the FTEF appointment fraction joined with an FTEA appointment (for a total 1.00 appointment) for school directors, division directors, and department chairs is funded at either the academic year or the twelve-month salary rate depending on the size and complexity of the department. The following criteria are used only as a guideline for college resource allocations and should not be construed as appointment or personnel practices.

1. The chair of a department with fewer than twenty-five FTE faculty positions receives FTEF funding based on the academic year salary schedule.
2. The chair of a department with more than twenty-five FTE faculty positions receives FTEF funding based on the twelve-month salary schedule.

Department Chair Allocations

Beginning in 2004/05 (effective September 1, 2003), department chair stipends were set at \$120.00 per pay period, regardless of department size. The stipend is incorporated into the base salary for the period of service as department chair. Chair salaries for FTEA were increased in 2002/03 by 3.5 percent of their FTEA salary, and were increased by an additional 4.5 percent in 2005/06.

Coordinator-Teacher Education (FTEC)

The number of FTEC positions for each college is determined using the following two components:

- ❑ The first component is calculated using the formula $(([\# \text{ of Credential Candidates}] - [\# \text{ of Single-subject credentials}]) / 200) + 0.25 = \text{FTEC}$. This budget component is allocated to the College of Education, with the Community Concepts program receiving .40 FTEC of this amount.

- The second component is calculated using the formula [# of Single Subject Credential Candidates / 200 = FTEC]. The FTEC positions from this budget component have the following distribution: 40 percent to the College of Education and 60 percent to the other colleges proportionally distributed by the number of single-subject credential students in each college.

Salaries

Once the salary budget is determined, salaries are calculated to fund positions using the steps that follow.

1. Position with Incumbent. The salary allocation for a position with an incumbent is the incumbent's actual projected salary for the next fiscal year.
2. Vacant or Temporary Position. The salary allocation for a vacant non-faculty position is the entry-level salary for 12 months. Temporary faculty positions are funded at the vacant rate (currently \$45,708), depending upon salary funds available after salaries have been allocated for regular faculty.
3. The base salary allocation *is not adjusted* for:
 - a. Retirements or separations.
 - b. New faculty or staff hires, unless written authorization from the Provost is provided.
 - c. Leave without pay absences.
 - d. In-range salary increases.
4. The base salary allocation *is adjusted* for:
 - a. Positions received via Allocation Orders from the Chancellor's Office.
 - b. Changes to budgeting practices based on new university or academic policies (such as sabbatical leaves in 2008/09).
 - c. Compensation increases.

Work Study Funds

Work study funds are allocated to the colleges using a combination of two factors: 1) recognition of the historical patterns of work study allocations to the colleges with adjustments to reflect allocation requests and organizational changes and 2) each college's percentage of the expenditures of all colleges over the last three years with the expenditures weighted in favor of the most recent. (Note that the formula does not give credit to over-expenditures.)

Operating Expenses

The operating expense (OE; formerly supplies & services, postage, telephone and travel) budget is a base allocation that historically has been adjusted annually based on changes in the assigned FTES. Due to the dramatic budget fluctuations over the past several years, however, the normal formulaic reallocation of OE&E has not been applied; unit heads (i.e., Deans and AVPs) have been given greater latitude than normal to reduce or increase their OE&E budget – within the constraint of their overall budget – to meet their respective units' needs. A return to formulaic allocation of OE&E in future years may occur, depending upon further conversation with the unit heads and/or the newly formed Provost's Budget Advisory Committee. If an OE&E formula is resurrected, the criteria and workload factors used in the OE allocation reflect the different program structures of the colleges and use two components: 1) organizational size and 2) differential funding per FTES based on mode and level of instruction. Each year the funds available for allocation are adjusted based on changes in the budgeted FTES targets.

When budgeted FTES targets exceed the ability to fund the necessary adjustment to the operating expense allocation, the allocation is adjusted to funds available on a pro rata basis.

Instructional Equipment/Technology

As has been the case for several years, the final topic of discussion in the 2008/09 Budget Binder is the Instructional Equipment/Technology budget. Once again, the Instructional Equipment Allocation fund (Special Equipment Requests) remains unfunded while the AAD attempts to maintain adequate instructional salary funding to meet the university's enrollment targets. Although this lack of equipment funding is necessary to meet pressing short-term needs, it is not a viable long-term funding option. Eventual restoration of the Instructional Equipment Allocation fund is essential to the successful delivery of the university's instructional programs. Equipment purchases over the past several years have been funded ad hoc and as resources became available, through sources such as CSUOF OE allocations, CSUOF roll-forward balances, and accumulated balances in funds such as CERF Trust accounts. As the university continues to evolve its "comprehensive budgeting" methodologies, the need to fund equipment purchases as an identified category should be addressed.

The following paragraph describes what the normal process of the allocation would be.

The allocation of instructional equipment/technology funds is based on the following two factors:

1. From the total equipment funds available for allocation, funds budgeted and identified for classroom technology/instructional equipment are combined with funds budgeted for other equipment to establish funding for special one-time allocations to the colleges and support units. These funds are allocated to the colleges and support units for the purchase of specified equipment items that fulfill identified, high-priority program needs, special initiatives, and innovation in the use of technology for the delivery of instruction in the classroom. This part of the allocation process enables the Provost/VPAA to identify and respond to the specified programmatic needs of the colleges and support units independent of historical allocation patterns, FTES considerations, or the size of the colleges' equipment inventory.
2. The remaining equipment funds are allocated to the colleges using factors that reflect program complexity (e.g., program size, laboratory FTES components, and classroom technology), including both historical support per FTES and the current year assigned FTES in the percentages shown below:

Base FTEF/A/C Positions	5%	C7-C14 Activity Lab FTES	5%
Assigned FTES	5%	C15-C16 Laboratory FTES	5%
Prior Year Fall Majors	10%	C20-C21 Activity/Performance Lab FTES	5%
Equipment Inventory Value	65%		

SECTION 2

<u>Years</u>	<u>BUDGETED POSITIONS</u>			<u>FTES</u>	
	<u>FTEF</u>	<u>MPP & FTEA/C</u>	<u>SUPPORT STAFF</u>	<u>Budgeted</u>	<u>Actual</u>
* 2008/09	1,177.35	78.15	375.45	23,699	---
2007/08	1,144.97	58.40	271.60	24,500	25,770
2006/07	1,106.47	59.60	261.30	23,606	23,891
2005/06	928.00	56.20	261.55	22,196	22,508
2004/05	880.56	58.55	259.90	21,655	21,140

INFORMATION INCLUDED TO DESCRIBE THE ALLOCATION:

<u>Table</u>	<u>Title</u>	<u>Contents</u>
2-I	2008/09 Base Positions	Distribution of FTE Positions 2004/05 through 2008/09
2-II	Distribution of FTEA, and MPP	Distribution of FTEA, and MPP Positions 2004/05 through 2008/09
2-III	Designated Base Positions	Distribution of Designated FTE Positions
2-IV	Base FTEC Positions	Distribution of FTEC Positions (Coordinators of Teacher Education)
2-V	Distribution of Sabbatical Leaves	Distribution of Sabbatical Leaves

* Beginning 2008/09 the positions noted reflect entire AAD budget. Previous years only included instruction program. Reference Table 2-I to 2-V for break-out of Instruction Program and Base Unit positions.

**TABLE 2-I
2008/09 BASE POSITIONS**

	ACTUALS				2008/09		
	2004/05	2005/06	2006/07	2007/08	FTEF	DESIGNATED POSITIONS	TOTAL FTEF
<u>BASE FTE FACULTY POSITIONS</u>							
<i>COLLEGES</i>							
Applied Sciences & Arts	119.53	154.20	209.20	209.49	207.54		207.54
Business	95.97	99.50	120.37	117.33	107.80		107.80
Education	78.18	82.90	89.23	90.05	90.06		90.06
Engineering	108.63	107.90	115.54	124.03	123.09		123.09
Humanities & the Arts	184.47	187.70	231.17	243.82	235.89	1.00	236.89
Science	143.08	151.60	161.44	167.96	166.28	1.00	167.28
MLML					7.10		7.10
Nuclear Science					0.20		0.20
Social Sciences	101.22	123.35	165.27	176.89	170.34		170.34
Social Work	26.83	0.00 (a)					
COLLEGE SUB-TOTAL	857.91	907.15	1,092.22	1,129.57	1,108.30	2.00	1,110.30
<i>AVP OFFICES</i>							
Academic Technology					0.00	0.50	0.50
Faculty Affairs					0.00	1.30	1.30
Library					25.44		25.44
Undergraduate Studies					1.50	2.50	4.00
AVP OFFICES SUB-TOTAL					26.94	4.30	31.24
ONE-TIME COMMITMENTS	20.65	18.85	13.85	12.00	9.68		9.68
AAD DIVISION RESERVE					17.73	8.40	26.13
TOTAL FTEF	878.56	926.00	1,106.07	1,141.57	1,162.65	14.70	1,177.35
<u>BASE SUPPORT STAFF POSITIONS</u>							
Applied Sciences & Arts	31.40	35.75 (a)	35.75	40.25	41.00		41.00
Business	19.00	19.00	19.00	20.50	16.75		16.75
Education	16.50	18.00	18.00	18.50	18.63		18.63
Engineering	46.70	46.70	42.50	42.50	39.75		39.75
Humanities & the Arts	42.50	41.75	40.25	40.05	46.30		46.30
SWS					1.00		1.00
Science	54.80	54.80	54.30	55.30	52.80		52.80
MLML					8.83		8.83
Nuclear Science					2.25		2.25
Social Sciences	18.75	20.25 (a)	21.50	22.00	22.15		22.15
Social Work	4.00	0.00 (a)					
Academic Senate	1.00	1.00	1.00	1.00	1.00		1.00
Academic Technology					26.44	7.00	33.44
Faculty Affairs	5.00	5.00	5.00	5.50	5.70		5.70
Grad Studies & Rsrch	2.50	2.50	3.00	3.00	3.00		3.00
Institutional Research	0.00	0.00	6.00 (b)	7.00	7.00		7.00
International & Extended Stds					0.00	10.36	10.36
Library					45.75		45.75
Office of the Provost	3.00	3.00	3.00	3.00	3.00	6.40	9.40
Academic Planning & Bdgts	11.00	10.00	7.00 (b)	7.00			
Undergraduate Studies	3.00	3.80	4.00	6.00	5.39	4.95	10.34
TOTAL BASE POSITIONS	259.15	261.55	260.30	271.60	346.74	28.71	375.45
ONE-TIME COMMITMENTS	0.75	0.00	1.00	0.00	0.00		0.00
TOTAL STAFF POSITIONS	259.90	261.55	261.30	271.60	346.74	28.71	375.45

NOTE: Beginning in 2008/09 position data is included for all units in AAD.

(a) College of Social Work was divided as follows: School of Social Work was merged into College of Applied Sciences and Arts; Departments of African American Studies, Mexican American Studies and Urban & Regional Planning were merged into College of Social Sciences.

(b) In 2006/07 the Office of Institutional Research was separated from Academic Planning & Budgets.

TABLE 2-II
BASE FTEA & MPP POSITIONS
 (Deans, Associate Deans, Department Chairs)

COLLEGE/AREA	2004/05	2005/06	2006/07	2007/08	2008/09		
					FTE	DESIGNATED FTE	TOTAL FTE
FTEA (Department Chairs)							
APPLIED SCI & ARTS	3.90	4.80	5.00	6.80	6.60		6.60
Libr & Info Sci	0.45 (a)						
BUSINESS	3.00	2.60	2.60	2.80	2.80		2.80
EDUCATION	3.00	3.00	3.00	4.20	4.00		4.00
ENGINEERING	3.20	3.60	3.40	3.70	3.40		3.40
HUMANITIES & THE ARTS	5.50	5.20	5.20	5.85	5.85		5.85
SCIENCE	4.70	4.50	4.50	4.70	4.70		4.70
SOCIAL SCIENCES	3.60	4.70	4.90	4.60	5.00		5.00
SOCIAL WORK	0.90	0.00 (b)					
One-Time	0.45	0.40	0.40	0.00	0.00		0.00
FTEA TOTALS	<u>28.70</u>	<u>28.80</u>	<u>29.00</u>	<u>32.65</u>	<u>32.35</u>	<u>0.00</u>	<u>32.35</u>
MPP (Deans, Associate Deans, & Associate VPs)							
APPLIED SCIENCES & ARTS	2.00 (a)	2.00	2.00	2.00	2.00		2.00
BUSINESS	2.00	3.00	2.00	2.00	2.00		2.00
EDUCATION	2.00	2.00	2.00	2.00	2.00		2.00
ENGINEERING	2.00	2.00	2.00	2.00	2.00		2.00
HUMANITIES & THE ARTS	2.00	3.50	4.00	4.00	4.00		4.00
SCIENCE	2.00	2.00	2.00	2.00	2.00		2.00
MLML					2.35		2.35
SOCIAL SCIENCES	2.00	2.00	2.00	2.00	2.00		2.00
SOCIAL WORK	1.00	0.00 (b)	0.00	0.00			
Academic Technology					2.00	1.00	3.00
Faculty Affairs	2.00	2.00	2.00	3.00	3.00		3.00
Graduate Studies & Research	2.00	2.00	2.00	2.00	2.05	0.40	2.45
Survey Policy & Research Institute	0.75	0.75	0.75	0.75			
Institutional Research	0.00	0.00	1.00	1.00	1.00		1.00
International & Extended Stds					0.00	1.00	1.00
Library					8.00		8.00
Office of the Provost					2.00	3.00	5.00
Undergraduate Studies	2.00	3.00	3.00	3.00	3.00	1.00	4.00
MPP TOTALS	<u>21.75</u>	<u>24.25</u>	<u>24.75</u>	<u>25.75</u>	<u>39.40</u>	<u>6.40</u>	<u>45.80</u>

(a) In FY 2004/05 Library & Info Sci became part of College of Applied Sciences & Arts.

(b) In FY 2005/06 the College of Social Work was divided as follows: School of Social Work was merged into College of Applied Sciences & Arts; Departments of African American Studies, Mexican American Studies and Urban & Regional Planning were merged into College of Social Sciences.

**TABLE 2-III
DESIGNATED BASE POSITIONS**

<u>UNIT</u>	<u>DESIGNATED BASE POSITIONS</u>	<u>FTEF</u>	<u>MPP AND FTEA/C</u>	<u>SUPPORT STAFF</u>	<u>TOTALS</u>
Division	Assessment	1.60	0.00	0.00	1.60
Division	UPC Student Success Release Time	6.80	0.00	0.00	6.80
	DIVISION SUB-TOTAL	8.40	0.00	0.00	8.40
<i>COLLEGES</i>					
Humanities & Arts	ILE	1.00	0.00	0.00	1.00
Science	ILE	1.00	0.00	0.00	1.00
	COLLEGE SUB-TOTAL	2.00	0.00	0.00	2.00
<i>AVP OFFICES</i>					
Academic Technology	Center for Faculty Development (CFD)	0.50	1.00	2.00	3.50
Academic Technology	UPC FYE Academic Success Ctr	0.00	0.00	1.00	1.00
Academic Technology	UPC Technology Infrastructure (CFD)	0.00	0.00	1.00	1.00
Academic Technology	Website Design & Maintenance	0.00	0.00	3.00	3.00
Faculty Affairs	Jr. Faculty Development Grants	1.30	0.00	0.00	1.30
Graduate Studies	UPC Investment in Fac-Assoc Dean	0.00	0.40	0.00	0.40
Int'l & Extended Stds	E Campus	0.00	0.00	2.00	2.00
Int'l & Extended Stds	International Programs & Services	0.00	1.00	7.46	8.46
Int'l & Extended Stds	International Projects	0.00	0.00	0.90	0.90
Office of the Provost	Academic Planning & Budgets	0.00	3.00	6.40	9.40
Undergraduate Stds	UPC FYE Academic Success Ctr	1.00	0.00	1.00	2.00
Undergraduate Stds	UGS - Community Service Learning	1.00	0.00	0.00	1.00
Undergraduate Stds	UGS - Learning Assist Resource Ctr (LARC)	0.50	1.00	3.95	5.45
	AVP OFFICES SUB-TOTAL	4.30	6.40	28.71	39.41
	TOTAL	14.70	6.40	28.71	49.81

**TABLE 2-IV
BASE FTE/C POSITIONS**
(Coordinators of Teacher Education)

<u>COLLEGE/AREA</u>	<u>FTEF/C</u>
Applied Sciences & Arts	0.00
Business	0.00
Education	6.50
Community Concepts	0.40
Engineering	0.00
Humanities & the Arts	0.30
Science	0.25
Social Sciences	0.15
TOTAL	7.60

NOTE: This table of actual budgeted Coordinator-Teacher Positions is provided for information only. The formula for FTE/C positions as described in Section I is used to calculate Coordinator-Teacher Education positions allocated. Coordinator-Teacher Education (FTE/C) positions are included within the total 2008/09 base CSU Operating Fund faculty salaries.

TABLE 2-V

DISTRIBUTION OF SABBATICAL LEAVES

	.50 AY Sabbaticals funded by Colleges*	1.0 Sabbaticals funded by AAD	Total Sabbaticals
Applied Sciences & Arts	1.00	6.00	7.00
Business	0.00	4.00	4.00
Education	1.00	2.00	3.00
Engineering	2.00	2.00	4.00
Humanities & the Arts	2.00	7.00	9.00
Science	3.00	4.00	7.00
Social Sciences	4.00	3.00	7.00
Library	0.00	1.00	1.00
TOTALS:	<u>13.00</u>	<u>29.00</u>	<u>42.00</u>

* Per CSU-CFA contract, .50 AY sabbaticals shall be granted for all eligible faculty who meet set established criteria. The .50 AY sabbaticals are considered essentially "self-funded" since faculty go on leave without pay and full salary remains in college budgets to hire replacement faculty.

SECTION 3

2008/09 BASE POSITION SALARIES

COLLEGE/AREA	FTE POS	SALARY AMOUNT
APPLIED SCIENCES & ARTS		
Instructional Faculty (w/Incumbents)	117.48	9,245,933
Temporary Faculty	90.06	4,116,281
Department Chairs/Directors	6.60	783,682
Total Instructional Allocation	214.14	14,145,896
Management Personnel	2.00	263,784
Support Staff	41.00	1,882,229
Total Base Allocation	257.14	16,291,909
BUSINESS		
Instructional Faculty (w/Incumbents)	85.20	8,155,871
Temporary Faculty	22.60	1,033,038
Department Chairs/Directors	2.80	366,072
Total Instructional Allocation	110.60	9,554,981
Management Personnel	2.00	337,572
Support Staff	16.75	926,922
Total Base Allocation	129.35	10,819,475
EDUCATION		
Instructional Faculty (w/Incumbents)	55.90	4,406,122
Temporary Faculty	34.16	1,561,399
Department Chairs/Directors	4.00	424,150
Total Instructional Allocation	94.06	6,391,671
Management Personnel	2.00	266,928
Support Staff	18.63	856,439
Total Base Allocation	114.69	7,515,038
ENGINEERING		
Instructional Faculty (w/Incumbents)	70.60	6,438,004
Temporary Faculty	52.49	2,398,914
Department Chairs/Directors	3.40	426,528
Total Instructional Allocation	126.49	9,263,446
Management Personnel	2.00	317,508
Support Staff	39.75	2,060,636
Total Base Allocation	168.24	11,641,590
HUMANITIES & THE ARTS		
Instructional Faculty (w/Incumbents)	128.12	9,937,023
Temporary Faculty	108.77	4,971,643
Department Chairs/Directors	5.85	571,897
Total Instructional Allocation	242.74	15,480,563
Management Personnel	4.00	451,296
Support Staff	46.30	2,300,168
Base Allocation Total	293.04	18,232,027
SCIENCE		
Instructional Faculty (w/Incumbents)	121.67	10,142,784
Temporary Faculty	45.61	2,084,670
Department Chairs/Directors	4.70	542,122
Total Instructional Allocation	171.98	12,769,576
Management Personnel	2.00	281,424
Support Staff	52.80	2,924,563
Base Allocation Total	226.78	15,975,563
SOCIAL SCIENCES		
Instructional Faculty (w/Incumbents)	116.50	8,918,913
Temporary Faculty	53.84	2,460,557
Department Chairs/Directors	5.00	557,551
Total Instructional Allocation	175.34	11,937,021
Management Personnel	2.00	278,352
Support Staff	22.15	1,029,112
Total Base Allocation	199.49	13,244,485

SECTION 3

2008/09 BASE POSITION SALARIES

COLLEGE/AREA	FTE POS	SALARY AMOUNT
TOTAL COLLEGE SALARY ALLOCATION		
Instructional Faculty	695.47	57,244,650
Temporary Faculty	407.53	18,626,502
Department Chairs/Directors	32.35	3,672,002
Total Instructional Allocation	1135.35	79,543,154
Management Personnel	16.00	2,196,864
Support Staff	237.38	11,980,069
Total Base Allocation	1388.73	93,720,087
HUMANITIES & THE ARTS		
STUDENT WRITING SKILLS		
Instructional Faculty (w/Incumbents)	0.00	0
Management Personnel	0.00	0
Support Staff	1.00	48,802
Base Allocation Total	1.00	48,802
SCIENCE		
NUCLEAR SCIENCE		
Instructional Faculty (w/Incumbents)	0.20	35,235
Management Personnel	0.00	0
Support Staff	2.25	132,025
Base Allocation Total	2.45	167,260
MOSS LANDING MARINE LABS		
Instructional Faculty (w/Incumbents)	7.10	576,941
Management Personnel	2.35	237,360
Support Staff	8.83	517,132
Base Allocation Total	18.28	1,331,433
SOCIAL SCIENCES		
CENTER FOR ECONOMIC EDUCATION		
Instructional Faculty (w/Incumbents)	0.00	0
Management Personnel	0.00	0
Support Staff	0.00	0
Base Allocation Total	0.00	0
TOTAL		
Instructional Faculty	7.30	612,176
Management Personnel	2.35	237,360
Support Staff	12.08	697,959
Base Allocation Total	21.73	1,547,495
ACADEMIC SENATE		
Instructional Faculty	0.00	0
Management Personnel	0.00	0
Support Staff	1.00	57,924
Base Allocation Total	1.00	57,924
ACADEMIC TECHNOLOGY		
Instructional Faculty	0.50	39,589
Management Personnel	3.00	327,936
Support Staff	33.44	1,814,347
Base Allocation Total	36.94	2,181,872
FACULTY AFFAIRS		
Instructional Faculty	1.30	59,423
Management Personnel	3.00	345,696
Support Staff	5.70	260,082
Base Allocation Total	10.00	665,201
GRADUATE STUDIES & RESEARCH		
Instructional Faculty	0.00	0
Management Personnel	2.45	316,026
Support Staff	3.00	139,886
Base Allocation Total	5.45	455,912
INSTITUTIONAL RESEARCH		
Instructional Faculty	0.00	0
Management Personnel	1.00	134,004
Support Staff	7.00	493,572
Base Allocation Total	8.00	627,576

SECTION 3

2008/09 BASE POSITION SALARIES

COLLEGE/AREA	FTE POS	SALARY AMOUNT
OFFICE OF THE PROVOST		
Instructional Faculty	0.00	0
Management Personnel	2.00	378,216
Support Staff	3.00	187,358
Base Allocation Total	5.00	565,574
ACADEMIC PLANNING & BUDGETS		
Instructional Faculty	0.00	0
Management Personnel	3.00	363,372
Support Staff	6.40	357,943
Base Allocation Total	9.40	721,315
UNDERGRADUATE STUDIES		
Instructional Faculty	3.39	152,122
Management Personnel	3.00	394,140
Support Staff	6.50	359,363
Base Allocation Total	12.89	905,625
LEARNING ASSISTANCE RESOURCE CTR (LARC)		
Instructional Faculty	0.61	18,660
Management Personnel	1.00	74,940
Support Staff	3.84	198,350
Base Allocation Total	5.45	291,950
TOTAL NON-COLLEGE SALARY ALLOCATION		
Instructional Faculty	5.80	269,794
Management Personnel	18.45	2,334,330
Support Staff	69.88	3,868,825
Total Base Allocation	94.13	6,472,949
GRAND TOTAL SALARY ALLOCATION		
Instructional Faculty	708.57	58,126,620
Temporary Faculty	407.53	18,626,502
Department Chairs/Directors	32.35	3,672,002
Management Personnel	36.80	4,768,554
Support Staff	319.34	16,546,853
Base Allocation Total	1,504.59	101,740,531
INTERNATIONAL & EXTENDED STDS		
Instructional Faculty	0.00	0
Management Personnel	1.00	94,788
Support Staff	10.36	552,619
Base Allocation Total	11.36	647,407
UNIVERSITY LIBRARY		
Instructional Faculty	25.44	1,963,242
Management Personnel	8.00	806,666
Support Staff	45.75	2,606,410
Base Allocation Total	79.19	5,376,318

SECTION 4

HISTORY OF BASE OPERATING EXPENSE (OE) FUNDS:

<u>Years</u>	<u>Operating Funds</u>	
2008/09	\$8,735,621	The 2008/09 Base OE allocation for Academic Technology, Library, and Student Writing Skills (SWS) includes the previous year Student Assistant budget amount. OE budget reductions include the following amounts: Applied Sciences & Arts -\$135,733; Business -\$94,481; Engineering -\$148,133; Humanities & the Arts -\$53,250; Science -\$67,218; Social Sciences -\$2,178; Academic Technology -\$27,753; Faculty Affairs -\$24,431; Graduate Studies & Research -\$12,000; SPRI -\$74,560; Institutional Research -\$36,072; International & Extended Studies -\$36,677; Provost -\$61,182; Undergraduate Studies -\$25,621; Academic Senate -\$4,062; and the Library -\$158,552.
2007/08	\$5,229,075	
2006/07	\$5,200,810	Includes \$2,768,000 restoration to base and new enrollment funding for AAD; University Library also received \$1,300,000 in new base funding.
2005/06	\$3,317,389	
2004/05	\$3,192,496	The division received benefits credit when it made budget reductions in salaries.

INFORMATION INCLUDED TO DESCRIBE THE ALLOCATION:

<u>Table</u>	<u>Title</u>	<u>Contents</u>
4-I	2008/09 Base OE Budget Allocations	Summary of base budget allocations by college and AVP office.
4-II	Designated OE Funds	List of designated OE funds by college and AVP office.

TABLE 4-I

2008/09 BASE OE BUDGET ALLOCATIONS

	A	B	C	D (B+C)	A+D
COLLEGES	Base Allocations	Other Base Budget Designated Funds	UPC	Subtotal Designated Funds & UPC	TOTAL BASE OE
Applied Sciences & Arts	\$386,106			\$0	\$386,106
Business	\$102,384			\$0	\$102,384
Education	\$140,000	\$165,000		\$165,000	\$305,000
Engineering	\$755,852	\$32,177		\$32,177	\$788,029
Humanities & the Arts	\$513,789			\$0	\$513,789
Student Writing Skills	\$113,765			\$0	\$113,765
Science	\$745,902	\$10,000		\$10,000	\$755,902
Moss Landing Marine Labs	\$545,042			\$0	\$545,042
Social Sciences	\$164,432			\$0	\$164,432
Center for Economic Education	\$27,804			\$0	\$27,804
Subtotal	\$3,495,076	\$207,177	\$0	\$207,177	\$3,702,253
AVP OFFICES:					
Academic Technology	\$344,933	\$47,247	\$41,000	\$88,247	\$433,180
Faculty Affairs (a)	\$32,397	\$42,124		\$42,124	\$74,521
Grad Studies & Research	\$5,000	\$108		\$108	\$5,108
Institutional Research	\$83,772			\$0	\$83,772
International & Extended Stds		\$54,547		\$54,547	\$54,547
Office of the Provost	\$55,267	\$4,004		\$4,004	\$59,271
Undergraduate Studies	\$16,200	\$226,319	\$25,000	\$251,319	\$267,519
Subtotal	\$537,569	\$374,349	\$66,000	\$440,349	\$977,918
RELATED AREAS:					
Academic Senate	\$24,384			\$0	\$24,384
Library	\$3,118,573			\$0	\$3,118,573
Subtotal	\$3,142,957	\$0	\$0	\$0	\$3,142,957
AA DIVISION-WIDE:	\$717,493	\$150,000	\$45,000	\$195,000	\$912,493
TOTAL ALLOCATED	\$7,893,095	\$731,526	\$111,000	\$842,526	\$8,735,621

(a) Allocation for Jr. Faculty Development Grants will be split as \$40,124 in OE and \$59,423 in Salaries.

**TABLE 4-II
DESIGNATED BASE OE FUNDS**

<u>Unit</u>	<u>Type of Funding</u>	<u>Base Budget</u>
Division	Academic Initiatives (LPP)	\$150,000
Division	Summer Faculty & Faculty Training	\$45,000
		<u>\$195,000</u>
Education	Master Teacher Contracts	\$165,000
Engineering	Minority Engineering Program	\$32,177
Science	CSU PERB Charges	\$10,000
Academic Technology	Center for Faculty Development	\$29,529
Academic Technology	UPC: CFD New Faculty Orientation	\$20,000
Academic Technology	UPC: FYE ASC	\$21,000
Academic Technology	AT Website Design & Maintenance	\$17,718
		<u>\$88,247</u>
Faculty Affairs	Jr. Faculty Development Grants	\$40,124
Faculty Affairs	Faculty Training	\$2,000
		<u>\$42,124</u>
Grad Studies	Graduate Equity Fellowships	\$108
International & Ext Studies	E Campus	\$2,023
International & Ext Studies	International Programs & Services	\$15,486
International & Ext Studies	International Projects	\$37,038
		<u>\$54,547</u>
Office of the Provost	Academic Planning & Budgets	\$4,004
Undergraduate Studies	Accreditation	\$75,000
Undergraduate Studies	UPC: FYE ASC	\$25,000
Undergraduate Studies	Center for Service Learning	\$16,319
Undergraduate Studies	Learning Assist Resource Ctr	\$135,000
		<u>\$251,319</u>
	Total	\$842,526

**SECTION 5
08/09 ONE-TIME ALLOCATIONS**

UNIT	ITEM	SALARY	FTE	OE	TOTAL
Academic Technology	Licenses				
	SPSS			40,421	40,421
	SAS			5,417	5,417
	Specialty Centers - SSDBA, GIS			19,625	19,625
	Turnitin			22,116	22,116
	Incubator Classroom/Clark Hall			100,000	100,000
	CFD Associate Director - Spring	9,142	0.20		9,142
	CFD Tech Project Coordination	18,283	0.40		18,283
		27,425	0.60	187,579	215,004
Business	University Athletic Representative	18,283	0.40		18,283
		18,283	0.40	0	18,283
CASA	ROTC			5,500	5,500
	Nursing 0405 Hire - Grant Match	29,503			29,503
	Gerontology Program in Social Work	9,142	0.20		9,142
	Social Work deferred roll			60,000	60,000
		38,645	0.20	65,500	104,145
Division	Academic Senate Policy Chairs	95,987	2.10		95,987
	Campus CFA Chapter	27,425	0.60		27,425
	UCCD Chair Support			1,500	1,500
	Faculty Recruitment - Search Support			75,000	75,000
	Work Study Matching			141,939	141,939
	Employee Accommodations Resource Ctr.			20,000	20,000
	MUSE Fall Incentives (faculty and staff) for CLA			4,000	4,000
	Honors Convocation			25,000	25,000
	Classroom Furniture			50,000	50,000
	4th Street Lease - AAD share			85,000	85,000
	Remediation Courses (Reserve)	60,000			60,000
	Faculty Promotions (Reserve)	175,000			175,000
	Risk Management (Reserve)			150,000	150,000
	Special Assistant to Provost (Reserve)	22,854	0.50	15,000	37,854
		381,266	3.20	567,439	948,705
Education	Ed. Doctorate			8,280	8,280
		0	0.00	8,280	8,280
Engineering					0
		0	0.00	0	0
Faculty Affairs	New Tenure-Track Orientation Stipends			15,000	15,000
		0	0.00	15,000	15,000
Grad Studies	Faculty Research Enhancement	15,000		0	15,000
		15,000	0.00	0	15,000
Humanities & the Arts	Marching Band			47,500	47,500
	Humanities Honors Program	36,566	0.80		36,566
		36,566	0.80	47,500	84,066
Institutional Research	Research/Survey Projects (inc. CLA)			15,000	15,000
	CLA Spring Incentives (capstone and senior sem)			4,000	4,000
	COGNOS			10,101	10,101
	Faculty Research Support (Reserve)	22,854	0.50		22,854
		22,854	0.50	29,101	51,955
Int'l & Ext Studies	Global Studies Institute	45,708	1.00	25,000	70,708
	Blackboard and WebCT			157,725	157,725
		45,708	1.00	182,725	228,433
Office of the Provost					0

**SECTION 5
08/09 ONE-TIME ALLOCATIONS**

UNIT	ITEM	SALARY	FTE	OE	TOTAL
		0	0.00	0	0
Science	AMP			50,000	50,000
	Math Laboratory			10,500	10,500
	Licensing Fee - Radioactive Materials			6,500	6,500
	CSUPERB			8,000	8,000
	CIRM (Cell Grant proposal Support)	3,667	0.08		3,667
	Bio Tech Support	40,000	0.50		40,000
		43,667	0.58	75,000	118,667
Social Sciences	CommUniverCity Executive Director	18,283	0.40		18,283
	City/University Career Initiatives	9,142	0.20	5,000	14,142
		27,425	0.60	5,000	32,425
Undergrad. Studies	Accreditation Reviews			15,000	15,000
	Program Review - External Reviewers			15,000	15,000
	WST Coordinator	26,740	0.40		26,740
	EO 665 (Math Remediation)	45,708	1.00		45,708
	Board of General Studies	63,991	1.40		63,991
		136,439	2.80	30,000	166,439
Grand Total:	08/09 One-Time Allocations	793,278	9.68	1,213,124	2,006,402

SECTION 6

RESOURCE: FACULTY RECRUITMENT

CSU OPERATING EXPENSE (OE) FUNDS AVAILABLE: \$75,000

**The 2008/09 Faculty Recruitment
Allocation will be distributed
after the number of approved
searches is known.**

SECTION 7

RESOURCE: WORK STUDY FUNDS

SOURCES OF WORK STUDY: Work Study funds to the campus are received in the form of a Federal Allocation Letter. These funds have two components: a federal portion and a 30 percent CSU Operating Fund matching component.

CSU OPERATING EXPENSE (OE) FUNDS AVAILABLE:	<u>Base Budget</u>
Federal College Work Study, Academic Affairs Division	\$331,192
30% Matching Funds, Academic Affairs Division	<u>\$141,939</u>
Total:	\$473,131

America Reads Program: **\$22,500**

HISTORY OF FUNDING FOR THE DIVISION:

<u>Years</u>	<u>Base Budgeted FTES</u>	<u>Work Study Funding</u>	<u>America Reads WS Program</u>
2008/09	23,699	\$473,131	\$22,500
2007/08	24,500	\$423,132	\$10,000
2006/07	23,606	\$651,141	\$10,000
2005/06	22,196	\$591,377	\$10,000
2004/05	21,655	\$566,000	\$20,000

INFORMATION INCLUDED TO DESCRIBE THE ALLOCATION:

<u>Table</u>	<u>Title</u>	<u>Contents</u>
7-I	Work Study Allocations for the Academic Affairs Division, 2004/05 through 2008/09 and Expenditures for the Academic Affairs Division, 2004/05 through 2007/08	Allocation by college and area and history of allocations and expenditures by college and area.

NOTE: In 2008-09 Work Study allocations and OE matching for Academic Technology, International & Extended Studies, and Library are combined in the total division allocation amounts. Base budget adjustments to deallocate 30% OE matching from these programs will be made to 2009/10 budgets.

TABLE 7-I

WORK STUDY ALLOCATIONS FOR THE ACADEMIC AFFAIRS DIVISION
2004/05 THROUGH 2008/09

COLLEGE/AREA	ORIGINAL ALLOCATIONS				2008/09
	2004/05	2005/06	2006/07	2007/08	ALLOCATION
APPLIED SCIENCES & ARTS	46,480	51,750	59,650	44,643	44,643
BUSINESS	26,530	33,490	40,296	45,973	45,973
EDUCATION	38,880	40,600	49,300	39,508	39,508
ENGINEERING	19,880	20,870	22,108	14,782	14,782
HUMANITIES & THE ARTS	36,354	38,172	49,972	48,976	48,976
SCIENCE	32,705	34,340	41,340	30,655	30,655
SOCIAL SCIENCES	29,855	40,348	47,450	39,836	39,836
SOCIAL WORK	9,967	0 (a)	0	0	0
Subtotal:	240,651	259,570	310,116	264,373	264,373
Academic Planning & Bdgts	16,500	16,774	0 (b)	0	0
Academic Technology	23,491	30,840	30,840	16,200	16,200
Enrollment & Academic Svcs	187,499 (c)	176,400	176,400 (c)	0	0
Faculty Affairs	7,125	7,480	7,480	7,500	7,500
Institutional Research	0	0	16,774 (b)	16,000	16,000
International Prog & Svcs	7,559	7,898	7,898	4,200	4,200
Library	78,850	90,000	101,633	90,000	90,000
UGS-LARC	0	0	0	0	50,000 (d)
Acad Affrs Division Reserve	4,325	2,415	0	24,859	24,858
TOTAL WORK STUDY	566,000	591,377	651,141	423,132	473,131
Center for Service Learning America Reads Program	20,000	10,000	10,000	10,000	22,500

COLLEGE/AREA	2004/05 Expenditures	2005/06 Expenditures	2006/07 Expenditures	2007/08 Expenditures
APPLIED SCIENCES & ARTS	47,829	41,166	44,278	54,240
BUSINESS	42,140	52,700	37,973	35,471
EDUCATION	36,853	41,904	39,415	59,470
ENGINEERING	12,090	17,177	13,490	15,819
HUMANITIES & THE ARTS	40,182	36,051	49,162	58,485
SCIENCE	31,101	28,251	30,050	28,683
SOCIAL SCIENCES	26,331	38,384	39,256	49,013
SOCIAL WORK	4,877	0	0	0
Subtotal:	241,401	255,633	253,624	301,181
Academic Planning & Bdgts	15,126	15,892	768	0
Academic Technology	36,557	16,507	15,880	18,860
Enrollment & Acad Services	122,116 (c)	198,538	0	0
Faculty Affairs	7,195	9,492	8,354	3,444
Institutional Research	0	0	14,973	19,702
International Prog & Svcs	6,683	5,615	2,852	4,076
Library	83,168	101,859	118,542	93,915
Undergrad Stds-LARC	0	0	0	42,202 (d)
AAD Wide Reserve	0	0	0	-19,441
TOTAL	512,246	603,536	414,993	463,940
CSL - America Reads Program	9,120	7,994	8,267	10,731

Notes: Matching funds of 30% is required for Work Study Allocations.

- (a) In 2005/06 the College of Social Work was divided up as follows: School of Social Work was merged with College of Applied Sciences & Arts; African-American Studies, Mexican-American Studies, and Urban & Regional Planning were merged with College of Social Sciences.
- (b) In 2006/07 the Office of Institutional Research was separated from Academic Planning & Budgets.
- (c) Academic Services was merged with Enrollment Services as of 7/1/04. During FY 2006/07 EAS was transferred to Student Affairs.
- (d) In 2007/08 Learning Assistance Resource Ctr (LARC) was transferred to AAD (Undergraduate Studies) with a \$36,000 Work Study allocation. For 2008/09, LARC's Work Study allocation is \$50,000.

**TABLE 8-1
2008/09 AAD BASE BUDGETS AND ALLOCATIONS**

	FACULTY/LIBRARIANS		FTEA/C		MPP		SUPPORT STAFF		Operating Expenses	TOTAL POSITIONS	TOTAL \$ AMOUNTS
	FTEF	Salaries	FTEA	Salaries	Positions	Salaries	Positions	Salaries			
AAD BASE BUDGET:	1,177.35	\$80,400,824	32.35	\$3,624,182	45.80	\$5,670,008	375.45	\$19,705,882	\$8,735,621	1,630.95	\$118,136,517 *
AAD BASE ALLOCATIONS:											
College/Area											
Applied Sciences & Arts	207.54	\$13,403,710	6.60	\$742,186	2.00	\$263,784	41.00	\$1,882,229	\$386,106	257.14	\$16,678,015
Business	107.80	\$9,210,660	2.80	\$344,321	2.00	\$337,572	16.75	\$926,922	\$102,384	129.35	\$10,921,859
Education	90.06	\$5,945,674	4.00	\$445,997	2.00	\$266,928	18.63	\$856,439	\$305,000	114.69	\$7,820,038
Engineering	123.09	\$8,826,753	3.40	\$436,693	2.00	\$317,508	39.75	\$2,060,636	\$788,029	168.24	\$12,429,619
Humanities & the Arts	236.89	\$14,830,854	5.85	\$649,709	4.00	\$451,296	46.30	\$2,300,168	\$513,789	293.04	\$18,745,816
Student Writing Skills	0.00	\$0	0.00	\$0	0.00	\$0	1.00	\$48,802	\$113,765	1.00	\$162,567
Science	167.28	\$12,251,982	4.70	\$517,594	2.00	\$281,424	52.80	\$2,924,563	\$755,902	226.78	\$16,731,465
Moss Landing Marine Labs	7.10	\$576,941	0.00	\$0	2.35	\$237,360	8.83	\$517,132	\$545,042	18.28	\$1,876,475
Nuclear Science	0.20	\$35,235	0.00	\$0	0.00	\$0	2.25	\$132,025	\$0	2.45	\$167,260
Social Sciences	170.34	\$11,449,339	5.00	\$487,682	2.00	\$278,352	22.15	\$1,029,112	\$164,432	199.49	\$13,408,917
Ctr for Economic Education	0.00	\$0	0.00	\$0	0.00	\$0	0.00	\$0	\$27,804	0.00	\$27,804
Subtotal	1,110.30	\$76,531,148	32.35	\$3,624,182	18.35	\$2,434,224	249.46	\$12,678,028	\$3,702,253	1,410.46	\$98,969,835
Academic Adm Offices											
Academic Technology	0.50	\$39,589	0.00	\$0	3.00	\$327,936	33.44	\$1,814,347	\$433,180	36.94	\$2,615,052
Faculty Affairs	1.30	\$59,423	0.00	\$0	3.00	\$345,696	5.70	\$260,082	\$74,521	10.00	\$739,722
Grad Studies & Research	0.00	\$0	0.00	\$0	2.45	\$316,026	3.00	\$139,886	\$5,108	5.45	\$461,020
Institutional Research	0.00	\$0	0.00	\$0	1.00	\$134,004	7.00	\$493,572	\$83,772	8.00	\$711,348
International & Extended Studies	0.00	\$0	0.00	\$0	1.00	\$94,788	10.36	\$552,619	\$54,547	11.36	\$701,954
Provost/VP for Academic Affairs	0.00	\$0	0.00	\$0	5.00	\$741,588	9.40	\$545,301	\$59,271	14.40	\$1,346,160
Undergraduate Studies	4.00	\$170,782	0.00	\$0	4.00	\$469,080	10.34	\$557,713	\$267,519	18.34	\$1,465,094
Subtotal	5.80	\$269,794	0.00	\$0	19.45	\$2,429,118	79.24	\$4,363,520	\$977,918	104.49	\$8,040,350
Related Areas											
Academic Senate	0.00	\$0	0.00	\$0	0.00	\$0	1.00	\$57,924	\$24,384	1.00	\$82,308
University Library	25.44	\$1,963,242	0.00	\$0	8.00	\$806,666	45.75	\$2,606,410	\$3,118,573	79.19	\$8,494,891
Subtotal	25.44	\$1,963,242	0.00	\$0	8.00	\$806,666	46.75	\$2,664,334	\$3,142,957	80.19	\$8,577,199
TOTAL BASE ALLOCATED:	1,141.54	\$78,764,184	32.35	\$3,624,182	45.80	\$5,670,008	375.45	\$19,705,882	\$7,823,128	1,595.14	\$115,587,384
AAD BASE RESERVE:	35.81	\$1,636,640	0.00	\$0	0.00	\$0	0.00	\$0	\$912,493	35.81	\$2,549,133

* Excludes \$331,192 Federal Work Study funding amount.

TABLE 8-2

UPC BASE AND ONE-TIME FUNDING
2006/07 TO 2008/09

AREA	PROJECT CODE		0607 Base Funded		0708 Base Funded		0708 One-Time Funded*		Anticipated 0809 Funds not received or distributed as of 9/27/08.		0809 Base Funded		0809 One-Time Funded	
			Salary/Release Time	O&E	Salary/Release Time	O&E	Salary/Release Time	O&E	Salary/Release Time	O&E	Salary/Release Time	O&E	Salary/Release Time	O&E
		1.1 SAIL												
UGS	N/A	SAIL						\$	50,827					
UGS	UPC002	Team SJSU Studies						\$	27,516			\$	17,008	
AT	N/A	Web Development						\$	15,000					
AT	N/A	Web Site						\$	5,000					
		1.2 ASSESSMENT												
AAD	UPC0501A or C	Assessment	\$	72,000										
H&A		Writing Center												
H&A	UPC0502B	Coordinator					\$	60,708			\$	120,133	\$	52,000
H&A	UPC0502A	Tutors						\$	44,000					
H&A	UPC0502A	Summer						\$	6,000					
H&A	UPC0502B	Faculty Experts					\$	27,425						
H&A	UPC0502A	O&E						\$	10,000					
H&A	UPC0502A	Admin					\$	36,000						
		1.3 FIRST YEAR EXPERIENCE												
		Academic Success Center (ASC) (Bob Cooper)												
UGS	UPC003	ASC support staff			a)	\$	34,800							
UGS	UPC003	FYE Coordinator			b)	\$	45,000							
UGS	UPC003	Extend Peer Mentor Director to 12-Month			a)	\$	17,000	\$	15,000					
UGS	UPC008	Student Workers						\$	3,000					
UGS	UPC001	Peer Mentors						\$	130,000	\$	15,000	\$	186,720	
UGS	UPC008	MUSE Incentives						\$	12,000					
UGS	UPC003	O&E (FYE & PM)			c)		\$	25,000	\$	5,000		\$	5,000	\$
AT	UPC003	Instructional Designer			a)	\$	58,000							\$
AT	UPC003	O&E			c)		\$	21,000						
AT	UPC003	Help Desk						\$	178,531		\$	178,531		
AT	N/A	Eportfolio						\$	11,142					
AT	N/A	Faculty eportfolio Pilot						\$	12,500					
		2.2 ENROLLMENT MANAGEMENT												
		Enrollment Management: Advising (Pam Stacks)												
AAD	UPC004	Summer Faculty & Faculty Training/Advising			e)		\$	45,000						
		3.1 INVESTMENT IN FACULTY												
		Investment in Faculty (Pat Backer)												
AAD	UPC015	Reconfigure Workload - release time			a)	\$	310,000	\$	27,300		\$	32,825		
AT	UPC011	New Faculty Orientation					\$	20,000						
GS&R	UPC014	Assoc Dean for Research - (release time)			a)	\$	50,000				\$	25,000		
FA	UPC010	Advertising						\$	50,000				\$	25,000
		6.4 TECHNOLOGY INFRASTRUCTURE												
AT	UPC023	Classroom Refresh						\$	199,473				\$	236,000
AT	UPC024	General Assignment Classroom Update (Staff Position)			a)	\$	57,000							
		TOTALS	\$	72,000	\$	-	\$	571,800	\$	111,000	\$	177,575	\$	748,847
								\$	526,800					
								\$	45,000					
								\$	111,000					
		Totals						\$	682,800	\$	926,422	\$	615,209	\$
													\$	293,008
		*Used \$507,422 from 1296 Rollforward.												