

SAN JOSÉ STATE UNIVERSITY

Academic Affairs Division Budget Allocations

Fiscal Year
2018-19



Table of Contents

Section 1: Introduction	1
Academic Affairs Budget	2
Highlights of the 2018-19 Budget.....	3
SJSU Enrollment Plan.....	3
Tenure/Tenure Track Faculty Expansion.....	3
Research Support.....	4
Budget Summaries	5
Section 2: Operating Fund	8
Table 2-1. Academic Affairs Division Base Changes	9
Table 2-2. College Target FTES Distribution.....	9
Table 2-3. Goal FTES and Funding Distribution	10
Budget Summaries	11
Section 3: Continuing Education Revenue Fund	13
Table 3-1. Self-Support Programs and Open University Revenue Distribution Models	14
Table 3-2. 2018-19 Projected CERF Revenues and their Distribution.....	14
Library Operations Support.....	15
Budget Summaries	16
Section 4: Student Success, Excellence and Technology Fee	18
Budget Summaries	20
Table 4-2. 2018-19 SSETF Budget Summary	20
Table 4-3. 2018-19 SSETF – IRA Budget Summary	21
Table 4-4. 2018-19 SSETF – Student Success Budget Summary	22
Table 4-5. 2018-19 SSETF – Course Support Budget Summary.....	23
Section 5: Work Study Allocations	24
Section 6: One-Time Division-Wide Allocations	26
Section 7: Appendix.....	28
Academic Affairs Division 2017-18 Year-End Balance Summary (CSU Operating Fund).....	29
Academic Affairs Division 2017-18 Year End Balance Summary (CERF).....	30
Division of Academic Affairs Organizational Structure	31

Contributing Photographers

James Tensuan, Brandon Chew, Jim Gensheimer,
Bruce F Cramer, Carlos A Moreno, and David Schmitz

Abbreviations

Unit Names

AAD	Academic Affairs Division
APB	Office of Academic Planning and Budgets
AS	Academic Senate
BUS	Lucas College and Graduate School of Business
CHaHS	College of Health and Human Sciences
CIES	College of International and Extended Studies
DW	Division-Wide
EDUC	Connie L. Lurie College of Education
ENGR	Charles W. Davidson College of Engineering
GUP	Office of Graduate and Undergraduate Programs
HA	College of Humanities and the Arts
IEA	Office of Institutional Effectiveness and Analytics
LIBR	University Library
OR	Office of Research
PRVST	Office of the Provost
SCI	College of Science
SFS	Office of Student and Faculty Success
SSCI	College of Social Sciences

General Terms

AUL	Average Unit Load
AY	Academic Year
CERF	Continuing Education Revenue Fund
CFA	California Faculty Association
CSU	California State University
FTES	Full-Time Equivalent Students
FY	Fiscal Year
GSI	General Salary Increase
HEPI	Higher Education Price Index
HFR	High Failure Rate
ICLM	Induced Course Load Matrix
IRA	Instructionally-Related Activities
OE&E	Operating Expenses & Equipment
OP FUND	California State University Operating Fund
RSCA	Research, Scholarship, and Creative Activity
SSETF	Student Success, Excellence and Technology Fee
WASC	Western Association of Schools and Colleges



Section 1

Introduction

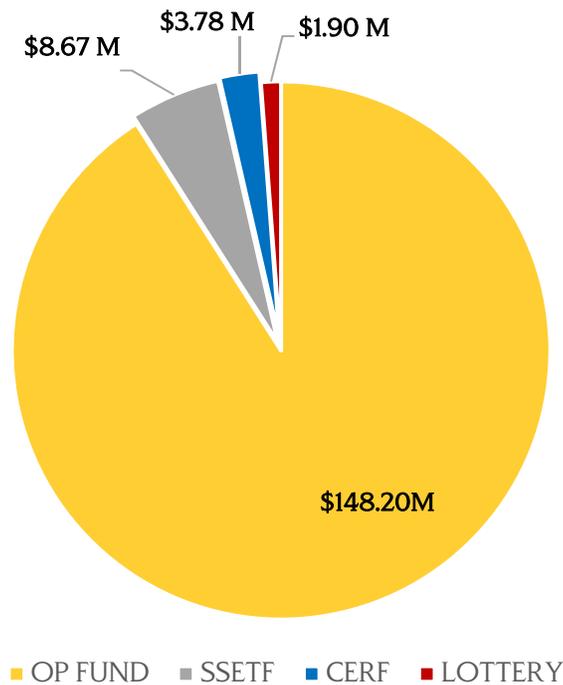
Academic Affairs Budget

This document describes the major resources that support the Academic Affairs Division (AAD), and their distribution across the division's units. Major fund sources include funds allocated by the university (Operating Fund, Student Success, Excellence & Technology Fee (SSETF) Funds, and the Lottery Fund), as well as revenues generated through self-supporting programs (Special Session, and Summer and Winter Intersession).

The California State University Operating Fund remains the primary source of support for the university and AAD. It includes state funding appropriation and student fees collected and controlled locally by the campus (e.g., tuition fees, non-resident fees, application fees). The next largest source of support is the Continuing Education Revenue Fund (CERF), followed by SSETF Funds, and lastly, the Lottery Fund.

The division's total base budget is \$162.56 million (see Table 1-6 for details).

Figure 1
Fund Sources for Academic Affairs 2018-19 Base Budget (\$162.56M)



Excluding prior-year carryforward funds, AAD's one-time budget totals \$7.1M across all major fund sources. Details of one-time allocations can be found in sections below.

**Table 1-1
Academic Affairs 2018-19 Base Budget Compared to 2017-18**

Funds	2017-18	2018-19	Change
Operating Fund	\$ 140.1M	\$ 148.20M	6 %
Continuing Education Revenue Fund (division's allocation)	3.8M	3.78M	-
Student Success, Excellence & Technology Fee	8.5M	8.67M	1.6 %
Lottery	1.9M	1.90M	-
Total Base Budget	\$ 154.3M	\$ 162.56M	5.4 %
Division Target FTES	25,157	25,397	0.9 %
Dollar per FTES	\$ 6,135	\$ 6,401	

Highlights of the 2018-19 Budget

SJSU Enrollment Plan

The division budget is based on 26,627 total FTES in 2018-19. As with last year, FTES are distributed across colleges using the [Induced Course Load Matrix](#) (ICLM) model, which predicts enrollments for each college based on historical course-taking patterns. Table 1-2 shows the target FTES distribution by college.

The campus continues to operate under a “No Artificial Limits” enrollment plan. The plan empowers colleges to expand enrollment opportunities that maximize student success and timely progress to graduation. As in prior years, the campus remains committed to supporting efforts to open sections to help students achieve their educational goals in a timely manner.

**Table 1-2
2018-19 College Target and Goal FTES Distribution**

College	Target FTES	Goal FTES	Total
Business	2,997	26	3,023
Education	1,409	39	1,448
Engineering	3,701	-	3,701
Health & Human Sciences	3,818	411	4,229
Humanities & the Arts	4,667	148	4,815
Science	4,155	322	4,477
Social Sciences	4,559	304	4,863
Others		71	71
Total	25,306	1,321	26,627

As with last year, there will be no downward adjustment to 2018-19 resources if a college falls short of their target. Additional enrollment funding support will be provided in spring at the goal rate of \$2,800 per FTES to the colleges for any additional FTES generated beyond the current goal.

Tenure / Tenure Track Faculty Expansion

The division continues its commitment to expanding the ranks of tenure/tenure-track faculty by hiring new members. A Chancellor's Office report has suggested that campuses work to define tenure density on their own terms. Although our systemwide measure of tenure density appears to be stalling by outward measures, we know that here on campus, tenure density is not the best measure of our success. To continue the effort to expand our faculty, the division approved 69 faculty searches for 2018-19 appointment. Approximately 13 of those searches are for net new positions. Along with these recently approved searches, the division has added a total of 190 tenure-track and tenured positions since 2016-17, averaging a net increase of 20 faculty lines, roughly a 12% growth, per year. Table 1-3 summarizes the approved searches by college.

**Table 1-3
2018-19 Approved Tenure Track Faculty Searches**

College/Unit	New Searches
Lucas College and Graduate School of Business	9
Connie L. Lurie College of Education *	6
Charles W. Davidson College of Engineering	11
College of Health & Human Sciences	8
College of Humanities & the Arts	12
College of Science	12
College of Social Sciences	7
University Library	4
Total	69

**Includes two searches for the Doctor of Audiology Program*

Research Support

2018-19 is marked by a significant investment on the part of the university in the areas of research and academic excellence. These investments include an expansion of assigned time for probationary junior faculty and a phase in for tenured faculty, along with startup funds for the Doctor of Audiology program, a Vice President of Research and Innovation, and research pre-award support. The following table provides an overview of investments in research programs.

**Table 1-4
Research Support**

Program	Base Funding
Faculty RSCA Assigned Time Expansion	\$ 1,500,000
Doctor of Audiology Startup	900,000
Research Pre-Award Support	500,000
Total	\$ 2,900,000

Table 1-5
2018-19 All Funds Base Budget Summary

	Op Fund	CERF	SSETF IRA	SSETF Student Success	SSETF Course Support	Lottery	Total
Lucas College and Graduate School of Business	14,658,597	55,855		104,583	475,237		15,294,272
Connie L. Lurie College of Education	10,341,510	55,050			2,745		10,399,305
Charles W. Davidson College of Engineering	19,742,762	51,031		228,640	272,910		20,295,343
College of Health & Human Sciences	20,861,321	56,916	57,886	199,061	220,415		21,395,599
College of Humanities & the Arts	22,921,674	90,138	622,978	771,920	500,916		24,907,626
College of Science	23,486,076	217,283		93,825	377,510		24,174,694
College of Social Sciences	17,623,569	70,332	84,986	182,359	5,780		17,967,026
Colleges Total	129,635,509	596,605	765,850	1,580,388	1,855,513	-	134,433,865
Academic Senate	96,050	9,570					105,620
Graduate & Undergraduate Programs	1,863,602	109,836					1,973,438
International & Extended Studies	1,788,086						1,788,086
Office of Research	1,037,222	51,751					1,088,973
Office of the Provost	2,256,901	234,260					2,491,161
Student & Faculty Success	1,432,819	522,317	17,650	3,778,200			5,750,986
University Library	5,698,610	791,924	666,960			1,900,000	9,057,494
Academic Support Units Total	14,173,290	1,719,658	684,610	3,778,200	-	1,900,000	22,255,758
University Personnel		85,619					85,619
Information Technology		320,588					320,588
Other Units Total	-	406,207	-	-	-	-	406,207
Division-Wide - Operations	84,000	1,060,000		1,587			1,145,587
Division-Wide - Holding	3,939,491		848	5,469	(1,791)		3,944,017
Division-Wide Total	4,023,491	1,060,000	848	7,056	(1,791)	-	5,089,604
Work Study	372,328						372,328
Division Total	\$ 148,204,618	\$ 3,782,470	\$ 1,451,308	\$ 5,365,644	\$ 1,853,722	\$ 1,900,000	\$ 162,557,762

**Table 1-6
2018-19 All Funds One-Time Budget Summary**

	Op Fund	CERF Revenues	CERF Roll Forward	SSETF IRA	SSETF Student Success	SSETF Course Support	Total
Lucas College and Graduate School of Business	146,169	2,012,411	330,369			32,711	2,521,660
Connie L. Lurie College of Education	2,313,209	219,682	386,419				2,919,310
Charles W. Davidson College of Engineering	1,349,190	1,996,013	961,472		1,350	30,730	4,338,755
College of Health & Human Sciences	2,249,447	10,442,473	6,693,094	58	921	74,833	19,460,826
College of Humanities & the Arts	1,456,313	738,024	497,374	59,921		34,541	2,786,173
College of Science	2,650,954	1,642,537	979,958			44,400	5,317,849
College of Social Sciences	1,026,303	1,075,143	420,601		9,368		2,531,415
Colleges Total	11,191,585	18,126,283	10,269,287	59,979	11,639	217,215	39,875,988
Academic Support Units							
Academic Senate							
Graduate & Undergraduate Programs	6						6
International & Extended Studies	(507,078)	7,294,259	7,696,283				14,483,464
Office of Research							
Office of the Provost	31,728						31,728
Student & Faculty Success	35,220		140,992		168,196		344,408
University Library	393,437			252,322	140,935		786,694
Academic Support Units Total	(46,687)	7,294,259	7,837,275	252,322	309,131	-	15,646,300
Other Units							
University Personnel							
Information Technology							
Other Units Total	-	-	-	-	-	-	-
Division-Wide							
Division-Wide - Operations	260,268						260,268
Division-Wide - Holding	2,549,932	(1,208,462)	(891,019)	5,993	651,600	551	1,108,595
Division-Wide Total	2,810,200	(1,208,462)	(891,019)	5,993	651,600	551	1,368,863
Work Study							
Work Study	159,568						159,568
Division Total	\$ 14,114,666	\$ 24,212,080	\$ 17,215,543	\$ 318,294	\$ 972,370	\$ 217,766	\$ 57,050,719

Table 1-7
2018-19 All Funds Budget Summary

	Op Fund	CERF	SSETF IRA	SSETF Student Success	SSETF Course Support	Lottery	Total
Lucas College and Graduate School of Business	14,804,766	2,398,635		104,583	507,948		17,815,932
Connie L. Lurie College of Education	12,654,719	661,151			2,745		13,318,615
Charles W. Davidson College of Engineering	21,091,952	3,008,516		229,990	303,640		24,634,098
College of Health & Human Sciences	23,110,768	17,192,483	57,944	199,982	295,248		40,856,425
College of Humanities & the Arts	24,377,987	1,325,536	682,899	771,920	535,457		27,693,799
College of Science	26,137,030	2,839,778		93,825	421,910		29,492,543
College of Social Sciences	18,649,872	1,566,076	84,986	191,727	5,780		20,498,441
Colleges Total	140,827,094	28,992,175	825,829	1,592,027	2,072,728	-	174,309,853
Academic Senate	96,050	9,570					105,620
Graduate & Undergraduate Programs	1,863,608	109,836					1,973,444
International & Extended Studies	1,281,008	14,990,542					16,271,550
Office of Research	1,037,222	51,751					1,088,973
Office of the Provost	2,288,629	234,260					2,522,889
Student & Faculty Success	1,468,039	663,309	17,650	3,946,396			6,095,394
University Library	6,092,047	791,924	919,282	140,935		1,900,000	9,844,188
Academic Support Units Total	14,126,603	16,851,192	936,932	4,087,331	-	1,900,000	37,902,058
University Personnel		85,619					85,619
Information Technology		320,588					320,588
Other Units Total	-	406,207	-	-	-	-	406,207
Division-Wide - Operations	344,268	1,060,000		1,587			1,405,855
Division-Wide - Holding [1]	6,489,423	(2,099,481)	6,841	657,069	(1,240)		5,052,612
Division-Wide Total	6,833,691	(1,039,481)	6,841	658,656	(1,240)	-	6,458,467
Work Study	531,896						531,896
Division Total	\$ 162,319,284	\$ 45,210,093	\$ 1,769,602	\$ 6,338,014	\$ 2,071,488	\$ 1,900,000	\$ 219,608,481

Notes:

[1] Division-Wide Holding is a reserve of unallocated funds earmarked for faculty assigned time, staff advisors, and division-wide programs and initiatives.



Section 2

Operating Fund

Operating Fund

The division's Operating Fund base budget increased by 3% over last year due to late allocation of enrollment target funding distributed in 2018-19, compensation increases, and other minor adjustments.

**Table 2-1
Academic Affairs Division Base Changes**

Unit	2017-18 Base Budget	Contractual Salary Increases	Enrollment Support	University Commitment	Other Adjustments *	2018-19 Base Budget	% Δ
Lucas College and Graduate School of Business	\$ 13,931,733	\$ 144,344	\$ 242,352		\$ (91,800)	\$ 14,226,629	2%
Connie L. Lurie College of Education	9,940,486	133,596				10,074,082	1%
Charles W. Davidson College of Engineering	18,736,280	209,060	271,579			19,216,919	3%
College of Health & Human Sciences	19,695,806	295,323	197,478			20,188,607	3%
College of Humanities & the Arts	21,567,707	409,847	220,990		55,488	22,254,032	3%
College of Science	22,182,345	345,281	259,350		129,480	22,916,456	3%
College of Social Sciences	16,345,692	295,773	119,240		250,000	17,010,705	4%
Academic Support Units	12,301,959	433,285		1,250,000	189,117	14,174,361	15%
Division	5,050,999	55,691	(1,310,989)	900,000	(740,050)	3,955,651	-22%
Work Study	372,328					372,328	0%
Totals	\$ 140,125,335	\$ 2,322,200	\$ -	\$ 2,150,000	\$ (207,765)	\$ 144,389,770	3%

* Includes organizational changes, university and division adjustments.

College budgets are adjusted annually for changes in FTES. Per the college-based budget model, adjustments for target FTES are made based on each college's Marginal Cost of Instruction.

**Table 2-2
College Target FTES Distribution**

College	2018-19 Target FTES	2017-18 Target FTES	Change	Marginal Cost of Instruction	New Enrollment Funding
Lucas College and Graduate School of Business	2,997	2,925	72	\$ 3,366	\$ 242,352
Connie L. Lurie College of Education	1,409	1,409	-	\$ 3,489	\$ -
Charles W. Davidson College of Engineering	3,701	3,624	77	\$ 3,527	\$ 271,579
College of Health & Human Sciences	3,818	3,764	54	\$ 3,657	\$ 197,478
College of Humanities & the Arts	4,667	4,597	70	\$ 3,157	\$ 220,990
College of Science	4,155	4,077	78	\$ 3,325	\$ 259,350
College of Social Sciences	4,559	4,515	44	\$ 2,710	\$ 119,240
Totals	25,306	24,911	395		\$ 1,310,989

Goal FTES funding rate is increased from \$2,600 to \$2,800 each in recognition of the increasing operating cost. Table 2-3 shows the Goal FTES and associated one-time funding allocations.

**Table 2-3
Goal FTES and Funding Distribution**

College	Goal FTES	One-Time Enrollment Funding
Lucas College and Graduate School of Business	26	\$ 72,800
Connie L. Lurie College of Education	39	\$ 109,200
Charles W. Davidson College of Engineering	-	\$ -
College of Health & Human Sciences	411	\$ 1,150,800
College of Humanities & the Arts	148	\$ 414,400
College of Science	322	\$ 901,600
College of Social Sciences	304	\$ 851,200
Other	71	\$ 198,800
Totals	1,321	\$ 3,698,800

As noted in Section 1, the campus continues to operate under a “No Artificial Limits” enrollment plan. Additional enrollment support will be provided in spring to colleges that exceed their enrollment goal.

Table 2-4
2018-19 Operating Fund Budget Summary

	2017-18 Base Budget	Contractual Salary Increases	Enrollment Support	University Commitment	University Adjustment	Division Commitment	Division Adjustment	2018-19 Base Budget
Lucas College and Graduate School of Busi	13,931,733	576,312	242,352		(91,800)			14,658,597
Connie L. Lurie College of Education	9,940,486	401,024						10,341,510
Charles W. Davidson College of Engineerir	18,736,280	734,903	271,579					19,742,762
College of Health & Human Sciences	19,695,806	968,037	197,478					20,861,321
College of Humanities & the Arts	21,567,707	1,077,489	220,990				55,488	22,921,674
College of Science	22,182,345	914,901	259,350			129,480		23,486,076
College of Social Sciences	16,345,692	908,637	119,240			250,000		17,623,569
Colleges Total	122,400,049	5,581,303	1,310,989	-	(91,800)	379,480	55,488	129,635,509
Academic Senate	92,375	3,654					21	96,050
Graduate & Undergraduate Programs	1,862,639	76,451					(75,488)	1,863,602
International & Extended Studies	992,102	45,984		750,000				1,788,086
Office of Research	517,560	19,667		500,000			(5)	1,037,222
Office of the Provost	1,994,377	65,775				196,749		2,256,901
Student & Faculty Success	1,403,921	28,898						1,432,819
University Library	5,438,985	259,625						5,698,610
Academic Support Units Total	12,301,959	500,054	-	1,250,000	-	196,749	(75,472)	14,173,290
Division-Wide - Operations	84,000							84,000
Division-Wide - Holding	4,966,999	55,691	(1,310,989)	900,000	(115,965)	(576,229)	19,984	3,939,491
Division-Wide Total	5,050,999	55,691	(1,310,989)	900,000	(115,965)	(576,229)	19,984	4,023,491
Work Study	372,328							372,328
Division Total	\$ 140,125,335	\$ 6,137,048	\$ -	\$ 2,150,000	\$ (207,765)	\$ -	\$ -	\$ 148,204,618

<u>Itemized Summary</u>								
17-18 R03 SSI		996,240						996,240
17-18 Support Staff GSI		575,072						575,072
17-18 MPP Merit Increase		1,660						1,660
18-19 Support Staff GSI		598,720						598,720
18-19 MPP & C99 Merit Increase		150,508						150,508
18-19 R03 GSI		3,814,848						3,814,848
IT Centralization Initiative					(207,765)			(207,765)
International Students Support				750,000				750,000
Research Pre-Award				500,000				500,000
Doctor of Audiology Program Startup				900,000				900,000
Total University Commitments	-	6,137,048	-	2,150,000	(207,765)	-	-	8,079,283

**Table 2-5
2018-19 Operating Fund One-Time Budgets**

	Prior Year Roll Forward	Prior Year Encumbrance Roll Forward	Enrollment Support	University One-Time Allocations	Division One-Time Allocations	CSU One-Time Allocations	2018-19 One-Time Allocations
Lucas College and Graduate School of Business	4,489	5,880	72,800	63,000			146,169
Connie L. Lurie College of Education	980,809	226,100	109,200	4,000	993,100		2,313,209
Charles W. Davidson College of Engineering	1,005,145	289,045		55,000			1,349,190
College of Health & Human Sciences		257,647	1,150,800	841,000			2,249,447
College of Humanities & the Arts	836,395	125,518	414,400	80,000			1,456,313
College of Science	1,241,686	435,668	901,600	72,000			2,650,954
College of Social Sciences	103,263	23,840	851,200	48,000			1,026,303
Colleges Total	4,171,787	1,363,698	3,500,000	1,163,000	993,100	-	11,191,585
Academic Senate							-
Graduate & Undergraduate Programs		6					6
International & Extended Studies	(664,057)	156,979					(507,078)
Office of Research							-
Office of the Provost		31,728					31,728
Student & Faculty Success		35,220					35,220
University Library	154,055	109,482		13,500	116,400		393,437
Academic Support Units Total	(510,002)	333,415	-	13,500	116,400	-	(46,687)
Division-Wide - Operations	227,875	32,393					260,268
Division-Wide - Holding*	2,432,219		221,200	925,581	(1,269,068)	240,000	2,549,932
Division-Wide Total	2,660,094	32,393	221,200	925,581	(1,269,068)	240,000	2,810,200
Work Study					159,568		159,568
Division Total	\$ 6,321,879	\$ 1,729,506	\$ 3,721,200	\$ 2,102,081	\$ -	\$ 240,000	\$ 14,114,666
Itemized Summary							
Continuing Projects	6,321,879	1,729,506		1,397,581			9,448,966
Enrollment Support			3,721,200				3,721,200
Faculty Recruitment				398,500			398,500
CASA Rebranding				306,000			306,000
Graduation Initiative 2025						240,000	240,000
Total University Commitments	6,321,879	1,729,506	3,721,200	2,102,081	-	240,000	14,114,666

* Funds held in "Division-Wide - Holding" are used to support division initiatives.



Section 3

Continuing Education Revenue Fund

Continuing Education Revenue Fund (CERF)

CERF resources are generated through the College of International and Extended Studies (CIES) unit. CIES coordinates continuing education for credit and non-credit programs for San José State University. These programs include Summer and Winter Intersessions, Special Session, and Open University.

CERF revenues are distributed to each division that provides services to Open University, Summer/Winter Intersession, and Special Session courses and to programs for which the Operating Fund is being reimbursed. Table 3-1 shows the current distribution models, which were implemented in 2016-17.

For Open University instruction, the university currently allocates \$2,800 per annualized FTES to the colleges in Operating Fund. Open University enrollment is based on available open seats and thus does not require any increased instructor capacity to serve additional students. Therefore, beginning in 2018-19, Open University allocations will be made purely in OE&E accounts. Open University revenues collected in CERF are distributed to other divisions, in accordance with the model in Table 3-1, with the remainder kept central at the university level.

Table 3-1
Self-Support Programs and Open University Revenue Distribution Models

	<u>Self-Support Programs</u>	<u>Open University</u>
Academic Affairs Division		
Programs and Division-Wide	66.0%	0.0%
College of International & Extended Studies	<u>19.0%</u>	<u>0.0%</u>
Total Academic Affairs Division	85.0%	0.0%
Administration and Finance Division	11.0%	11.0%
Student Affairs Division	3.5%	3.5%
State Charges / Contingency	<u>0.5%</u>	<u>85.5%</u>
Total Revenue Distribution %	<u>100.0%</u>	<u>100.0%</u>

Continuing Education Revenue projections and distributions for each program type are summarized in Table 3-2.

Table 3-2
2018-19 Projected CERF Revenues and their Distribution

	Summer		Winter	Open University	Study Abroad	Non Credit	Total
	Special Session	Intersession	Intersession			Programs	
Projected Revenue *	\$ 22,457,352	\$ 7,096,079	\$ 1,810,644	\$ 2,935,696	\$ 1,335,085	\$ 225,591	\$ 35,860,447
Administration & Finance	2,470,309	780,569	199,171	322,927			3,772,976
Student Affairs	786,007	248,363	63,373	102,749			1,200,492
State Charges / Contingency	112,287	35,480	9,053	2,510,020			2,666,840
International & Extended Studies	4,266,897	1,348,255	344,022	-	1,335,085	225,591	7,519,850
Provost	258,260	1,844,981	470,767	-			2,574,008
Total Overhead	\$ 7,893,760	\$ 4,257,648	\$ 1,086,386	\$ 2,935,696	\$ 1,335,085	\$ 225,591	\$ 17,734,166
Colleges	\$ 14,563,592	\$ 2,838,431	\$ 724,258	\$ -	\$ -	\$ -	\$ 18,126,281

* Based on CIES 2018-19 Business Plan

Library Operations Support

The Library provides support to all SJSU matriculated students, as well as Open University and Special Session students. The Library receives an annual allocation based on 3.43% of the colleges' share of Special Session revenue and 5.34% of the colleges' Open University allocations. All tables in Section 3 exclude these allocations to the Library.

**Table 3-3
2018-19 CERF Budget Summary**

	Projected Revenue Distribution	2018-19 Division Base Allocation	Total Projected Revenue Distribution	Prior Year Roll Forward	2018-19 Total Budget
Lucas College and Graduate School of Business	2,012,411	55,855	2,068,266	330,369	2,398,635
Connie L. Lurie College of Education	219,682	55,050	274,732	386,419	661,151
Charles W. Davidson College of Engineering	1,996,013	51,031	2,047,044	961,472	3,008,516
College of Health & Human Sciences	10,442,473	56,916	10,499,389	6,693,094	17,192,483
College of Humanities & the Arts	738,024	90,138	828,162	497,374	1,325,536
College of Science	1,642,537	217,283	1,859,820	979,958	2,839,778
College of Social Sciences	1,075,143	70,332	1,145,475	420,601	1,566,076
Colleges Total	18,126,283	596,605	18,722,888	10,269,287	28,992,175
Academic Senate		9,570	9,570		9,570
Graduate & Undergraduate Programs		109,836	109,836		109,836
International & Extended Studies	7,294,259		7,294,259	7,696,283	14,990,542
Office of Research		51,751	51,751		51,751
Office of the Provost		234,260	234,260		234,260
Student & Faculty Success		522,317	522,317	140,992	663,309
University Library		791,924	791,924		791,924
Academic Support Units Total	7,294,259	1,719,658	9,013,917	7,837,275	16,851,192
University Personnel		85,619	85,619		85,619
Information Technology		320,588	320,588		320,588
Other Units Total	-	406,207	406,207	-	406,207
Division-Wide - Operations		1,060,000	1,060,000		1,060,000
Division-Wide - Holding	2,574,008	(3,782,470)	(1,208,462)	(891,019)	(2,099,481)
Division-Wide Total	2,574,008	(2,722,470)	(148,462)	(891,019)	(1,039,481)
Division Total	\$ 27,994,550	\$ -	\$ 27,994,550	\$ 17,215,543	\$ 45,210,093

**Table 3-4
2018-19 CERF Base Budget Adjustments**

	2017-18 Base Budget	Contractual Salary Increases	Division Adjustment	2018-19 Base Budget
Lucas College and Graduate School of Business	52,459	3,396		55,855
Connie L. Lurie College of Education	53,154	1,896		55,050
Charles W. Davidson College of Engineering	47,983	3,048		51,031
College of Health & Human Sciences	90,556	1,945	(35,585)	56,916
College of Humanities & the Arts	134,032	2,054	(45,948)	90,138
College of Science	208,622	8,661		217,283
College of Social Sciences	100,862	5,524	(36,054)	70,332
Colleges Total	687,668	26,524	(117,587)	596,605
Academic Senate	9,021	570	(21)	9,570
Graduate & Undergraduate Programs	105,305	4,531		109,836
International & Extended Studies	-			-
Office of Research	49,809	1,945	(3)	51,751
Office of the Provost	208,483	7,389	18,388	234,260
Student & Faculty Success	497,681	19,372	5,264	522,317
University Library	764,595	17,362	9,967	791,924
Academic Support Units Total	1,634,894	51,169	33,595	1,719,658
University Personnel	83,428	1,683	508	85,619
Information Technology	313,449		7,139	320,588
Other Units Total	396,877	1,683	7,647	406,207
Division-Wide - Operations	1,060,000			1,060,000
Division-Wide - Holding				-
Division-Wide Total	1,060,000	-	-	1,060,000
Division Total	\$ 3,779,439	\$ 79,376	\$ (76,345)	\$ 3,782,470



Section 4

Student Success, Excellence and Technology Fee

Student Success, Excellence and Technology Fee (SSETF)

SSETF is a mandatory student fee that subsumed and replaced all existing Category III miscellaneous course fees, except those associated with field trips, and the Instructionally Related Activities (IRA) fee as of Fall 2012. SSETF is also intended to facilitate student and student athlete graduation and retention rates and strengthen student learning through improved use of technology.

The university unbundled SSETF in Fall 2014 into three components: the IRA fee, student success fee, and course support fee. The purpose is to enhance transparency and ensure that the revenues collected are used in ways that were detailed in the fee proposals. Three funds were created to identify and better manage allocations:

- 70018 SSETF - IRA
- 70019 SSETF - Student Success
- 70020 SSETF - Course Support

For 2018-19, there is no planned increase to any component of the unbundled student success fee with the exception of a provision that allows for an annual inflation adjustment based on the Higher Education Price Index (HEPI). The inflation adjustment this year is 3.7% over 2017. Table 4-1 shows the fee schedule for 2018-19:

**Table 4-1
SSETF Fee Schedule**

Fee Type	Summer 2018	Fall 2018	Spring 2019
SSETF - IRA	\$ 109.00	\$ 163.50	\$ 163.50
SSETF - Student Success	\$ 87.00	\$ 130.50	\$ 130.50
SSETF - Course Support	\$ 22.00	\$ 33.00	\$ 33.00
Total Revenue Distribution	\$ 218.00	\$ 327.00	\$ 327.00

The distribution of SSETF-Course Support funds to the colleges has historically been adjusted annually for changing enrollment levels (measured by FTES) and for inflation based on the HEPI.

However, as the average unit load increases, student headcount does not proportionally increase with FTES and it is impacting the division's SSETF-Course Support budget model. The division over-allocated funds over recent budget cycles as the total FTES outpaced student headcount. This necessitates an ongoing freeze to the course support budget at the 2016-17 level across all colleges and one-time funding until the deficit is cleared.

The following tables show the allocations in each SSETF fund.

Table 4-2
2018-19 SSETF Budget Summary

	SSETF IRA	SSETF Student Success	SSETF Course Support	Total
Lucas College and Graduate School of Business		104,583	507,948	612,531
Connie L. Lurie College of Education			2,745	2,745
Charles W. Davidson College of Engineering		229,990	303,640	533,630
College of Health & Human Sciences	57,944	199,982	295,248	553,174
College of Humanities & the Arts	682,899	771,920	535,457	1,990,276
College of Science		93,825	421,910	515,735
College of Social Sciences	84,986	191,727	5,780	282,493
Colleges Total	825,829	1,592,027	2,072,728	4,490,584
Student & Faculty Success	17,650	3,946,396		3,964,046
University Library	919,282	140,935		1,060,217
Academic Support Units Total	936,932	4,087,331	-	5,024,263
Division-Wide - Operations		1,587		1,587
Division-Wide - Holding	6,841	657,069	(1,240)	662,670
Division-Wide Total	6,841	658,656	(1,240)	664,257
Division Total	\$ 1,769,602	\$ 6,338,014	\$ 2,071,488	\$ 10,179,104

Table 4-3
2018-19 SSETF - IRA Budget Summary

	2017-18 Base Budget	Contractual Salary Increases	2018-19 Base Budget	Prior Year Roll Forward	Prior Year Encumbrance Roll Forward	University One- Time Allocations	2018-19 Total Budget
Lucas College and Graduate School of Business			-				-
Connie L. Lurie College of Education			-				-
Charles W. Davidson College of Engineering			-				-
College of Health & Human Sciences	57,886		57,886		58		57,944
College of Humanities & the Arts	618,223	4,755	622,978	(5,993)	65,914		682,899
College of Science	-		-				-
College of Social Sciences	84,986		84,986				84,986
Colleges Total	761,095	4,755	765,850	(5,993)	65,972	-	825,829
Student & Faculty Success	17,650		17,650				17,650
University Library	657,397	9,563	666,960			252,322	919,282
Academic Support Units Total	675,047	9,563	684,610	-	-	252,322	936,932
Division-Wide - Operations			-				-
Division-Wide - Holding	832	16	848	5,993			6,841
Division-Wide Total	832	16	848	5,993	-	-	6,841
Division Total	\$ 1,436,974	\$ 14,334	\$ 1,451,308	\$ -	\$ 65,972	\$ 252,322	\$ 1,769,602
<u>Itemized Summary</u>							
17-18 Support Staff GSI		7,966	7,966				7,966
18-19 Support Staff GSI		6,368	6,368				6,368
24/5 Extended Study Hours			-			252,322	252,322
Prior Year			-		65,972		65,972
Total University Commitments	-	14,334	14,334	-	65,972	252,322	332,628

**Table 4-4
2018-19 SSETF - Student Success Budget Summary**

	2017-18 Base Budget	Contractual Salary Increases	University Adjustment	Division Adjustment	2018-19 Base Budget	Prior Year Roll Forward / Continuation Funding	Prior Year Encumbrance Roll Forward	University One-Time Allocations	2018-19 Total Budget
Lucas College and Graduate School of Business	104,583				104,583				104,583
Connie L. Lurie College of Education					-				-
Charles W. Davidson College of Engineering	221,536	7,104			228,640		1,350		229,990
College of Health & Human Sciences	193,493	5,568			199,061		921		199,982
College of Humanities & the Arts	633,842	10,798		127,280	771,920				771,920
College of Science	93,825				93,825				93,825
College of Social Sciences	181,237	3,516	(2,394)		182,359		9,368		191,727
Colleges Total	1,428,516	26,986	(2,394)	127,280	1,580,388	-	11,639	-	1,592,027
Student & Faculty Success	3,685,782	92,418			3,778,200	73,497	34,699	60,000	3,946,396
University Library	-				-	65,997	2,938	72,000	140,935
Academic Support Units Total	3,685,782	92,418	-	-	3,778,200	139,494	37,637	132,000	4,087,331
Division-Wide - Operations	177,450		(48,583)	(127,280)	1,587				1,587
Division-Wide - Holding		5,469			5,469	27,600		624,000	657,069
Division-Wide Total	177,450	5,469	(48,583)	(127,280)	7,056	27,600	-	624,000	658,656
Division Total	\$ 5,291,748	\$ 124,873	\$ (50,977)	\$ -	\$ 5,365,644	\$ 167,094	\$ 49,276	\$ 756,000	\$ 6,338,014
<u>Itemized Summary</u>									
17-18 Support Staff GSI		52,189			52,189				52,189
17-18 M80 & C99 Merit Increase		1,422			1,422				1,422
18-19 Support Staff GSI		56,972			56,972				56,972
18-19 M80 & C99 Merit Increase		11,640			11,640				11,640
18-19 R03 GSI		2,650			2,650				2,650
Benefits Pay-In			(50,977)		(50,977)				(50,977)
Academic Advisors					-			580,000	580,000
Accelerated Developmental Math & Tutoring					-	60,000		104,000	164,000
Support for Students in HFR Courses					-	41,097			41,097
Late Night Tutoring in the Library					-	65,997		72,000	137,997
Prior Year					-		49,276		49,276
Total University Commitments	-	124,873	(50,977)	-	73,896	167,094	49,276	756,000	1,046,266

Table 4-5
2018-19 SSETF - Course Support Budget Summary

	2017-18 Base Budget	Contractual Salary Increases	University Adjustment	2018-19 Base Budget	Prior Year Roll Forward	Prior Year Encumbrance Roll Forward	2018-19 Total Budget
Lucas College and Graduate School of Business	579,678	7,968	(112,409)	475,237		32,711	507,948
Connie L. Lurie College of Education	2,745			2,745			2,745
Charles W. Davidson College of Engineering	272,910			272,910		30,730	303,640
College of Health & Human Sciences	220,415			220,415		74,833	295,248
College of Humanities & the Arts	497,224	3,692		500,916	(551)	35,092	535,457
College of Science	377,510			377,510		44,400	421,910
College of Social Sciences	5,780			5,780			5,780
Colleges Total	1,956,262	11,660	(112,409)	1,855,513	(551)	217,766	2,072,728
Division-Wide - Operations				-			-
Division-Wide - Holding	(153,426)		151,635	(1,791)	551		(1,240)
Division-Wide Total	(153,426)	-	151,635	(1,791)	551	-	(1,240)
Division Total	\$ 1,802,836	\$ 11,660	\$ 39,226	\$ 1,853,722	\$ -	\$ 217,766	\$ 2,071,488
Itemized Summary							
17-18 Support Staff GSI		7,460		7,460			7,460
18-19 Support Staff GSI		4,200		4,200			4,200
Benefits Pay-Out			39,226	39,226			39,226
Prior Year				-		217,766	217,766
Total University Commitments	-	11,660	39,226	50,886	-	217,766	268,652



Section 5

Work Study Allocations

Work Study Allocations

The Federal Work Study Program allows students to work on campus as part of their financial aid package. Funding is derived as follows: 70% from Federal Work Study and 30% matching funds from the division.

Table 5-1
2018-19 Work Study Allocations with History

College / Unit	2016-17	2017-18	2018-19
Lucas College and Graduate School of Business	43,374	43,374	43,374
Connie L. Lurie College of Education	51,887	51,887	51,887
Charles W. Davidson College of Engineering	33,124	33,124	33,124
College of Health & Human Sciences	51,980	51,980	51,980
College of Humanities & the Arts	59,326	59,326	59,326
College of Science	33,675	33,675	33,675
College of Social Sciences	47,401	47,401	47,401
Colleges Total	320,767	320,767	320,767
Graduate & Undergraduate Programs	10,772	5,772	5,772 [1]
International & Extended Studies	4,536	4,536	4,536
Office of the Provost	1,000	1,000	1,000
Institutional Effectiveness & Analytics	18,688	18,688	18,688
Student & Faculty Success	72,903	77,903	77,903 [1]
University Library	96,946	96,946	96,946
Academic Support Units Total	204,845	204,845	204,845
Faculty Affairs (University Personnel)	10,772	-	-
Information Technology	12,388	-	-
Other Units Total	193,009	174,849	174,849 [2]
AAD Reserve	6,285	6,284	6,284
Division Total	\$ 531,897	\$ 531,896	\$ 531,896

Notes:

[1] The Center for Community Learning & Leadership's work study allocation was transferred from Graduate & Undergraduate Programs to Student & Faculty Success due to organizational changes.

[2] Faculty Affairs (University Personnel) and Information Technology transitioned out of Academic Affairs in FY 2017-18.



Section 6

One-Time Division-Wide Allocations

**Table 6-1
2018-19 Division-Wide One-Time Commitments**

Unit	Description	Op Fund		CERF	Total
		Salary	Op Fund OE&E	OE&E	
Graduate & Undergraduate Programs	Assessment Director	37,205			37,205
	Assessment Facilitators	86,810			86,810
	Board of General Studies	99,212			99,212
	Campus Reading Program	12,402			12,402
	Curriculum		26,000		26,000
	Program Planning	62,007	11,000		73,007
	Undergraduate Studies Committee Chair	12,402			12,402
	VET Connect	6,201			6,201
	Writing Skills Test Coordinator	30,303			30,303
Graduate & Undergraduate Programs Total		346,542	37,000	-	383,542
Accreditation	Professional Accreditation Program Dues		75,000		75,000
	WASC Campus Fee		44,400		44,400
	WASC General Education Assessment	17,402			17,402
	WASC Regional Workshops Team Travel		14,500		14,500
	WASC Task Force Chair	12,402			12,402
Accreditation Total		29,804	133,900	-	163,704
Office of Research	Institutional Animal Care and Use Committee	62,007			62,007
	Instructional Review Board Chair	12,402	1,980		14,382
	Software and Memberships		3,540		3,540
Office of Research Total		74,409	5,520	-	79,929
Institutional Effectiveness & Analytics	Tableau		12,150		12,150
	Yield Prediction Modeling	10,000			10,000
Institutional Effectiveness & Analytics Total		10,000	12,150	-	22,150
Faculty & Staff Grants	SJSU Research, Scholarship, and Creative Activity	250,000			250,000
	Faculty RSCA Assigned Time Expansion Program	1,500,000			1,500,000
	Staff Professional Development		100,000		100,000
	Undergraduate Research Grant		29,000		29,000
	University Grants Academy	111,618			111,618
Faculty & Staff Grants		1,861,618	129,000	-	1,990,618
Division-Wide Activities	Division Events		5,000		5,000
	Faculty Service Recognition		30,000		30,000
	Faculty Climate Survey		50,000		50,000
	Honor's Convocation		52,000		52,000
	Onboarding	52,800	31,500		84,300
	Provost's Priorities		100,000		100,000
	Recruitment and Relocation		50,000		50,000
	Staff Recognition		15,000		15,000
	University Speaker Series		7,000		7,000
Division-Wide Activities Total		52,800	340,500	-	393,300
Division-Wide Programs & Initiatives	4th Street Lease			70,000	70,000
	Academic Senate Policy Chairs	136,416			136,416
	Academic Senate Chair Support	11,500	4,000		15,500
	Advancing Faculty Diversity		199,586		199,586
	Audiology Startup (Prior Year & 18-19 Allocation)		993,100		993,100
	Campus CFA Chapter Representatives	37,205			37,205
	Campus Compact Membership		11,000		11,000
	Contingency		200,000		200,000
	Course Redesign		90,000		90,000
	Cybersecurity Director	159,900			159,900
	EO 1110 (Prior Year & 18-19 Allocation)		284,710		284,710
	Research Software		62,000		62,000
	University Council of Chairs and Directors	12,402	2,000		14,402
	University Faculty Athletics Representative	24,803			24,803
	UNVS & Statway	62,007			62,007
	Wireless Devices		12,000		12,000
	Work Study Matching		159,568		159,568
Division-Wide Programs & Initiatives Total		444,233	2,017,964	70,000	2,532,197
Total		2,819,406	2,676,034	70,000	5,565,440



Section 7

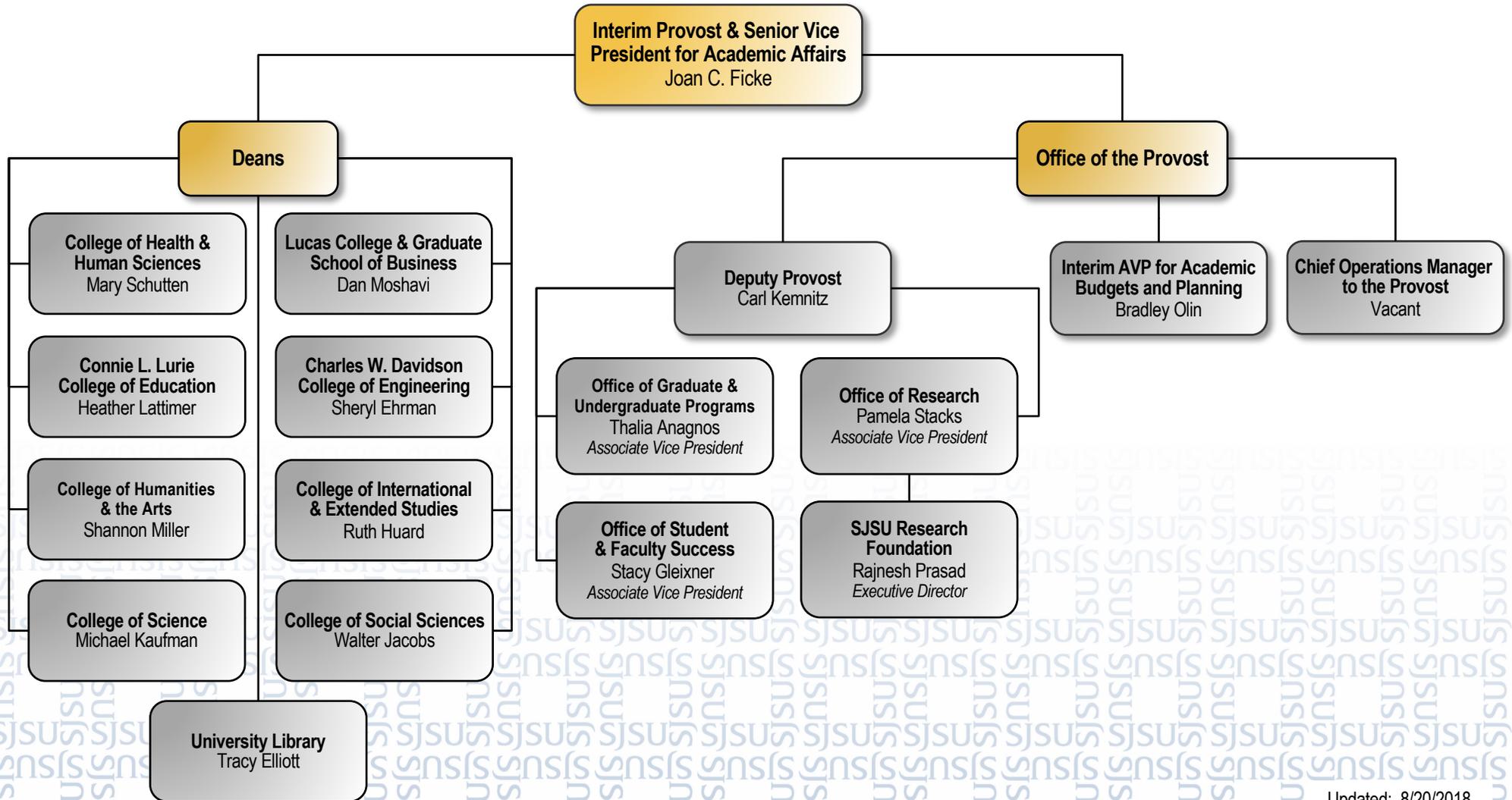
Appendix

**Academic Affairs Division
2017-18 Year-End Balance Summary
CSU Operating Fund**

	Applied Sciences & Arts	Business	Education	Engineering	Humanities & the Arts	Science	Social Sciences	Total Academic Colleges	Academic Support Units	Int'l & Extended Studies	University Library	Division-Wide	Total Academic Affairs
Year-End Balance	\$ 717,772	\$ 296,424	\$ 1,405,957	\$ 2,420,706	\$ 1,742,125	\$ 2,003,941	\$ (141,989)	\$ 8,444,936	\$ 842,572	\$ (448,004)	\$ 158,044	\$ 4,583,986	\$ 13,581,534
Designation of Year-End Balance													
Capital Projects			125,000	233,000	170,000			528,000	-		60,000		588,000
Classroom/Lab Equipment				26,000	37,000	300,000	30,000	393,000	-		94,055		487,055
Program Development			68,000		275,000	221,686	67,000	631,686	-			160,000	791,686
RSCA Expansion Program								-	-			1,764,060	1,764,060
Faculty Research Support		4,488	312,980		125,000	120,000	6,264	568,732	-			188,153	756,885
Faculty Start-Up			54,000	746,145	83,000	600,000		1,483,145	-				1,483,145
MPP Start-Up			420,829		18,000			438,829	-			16,788	455,617
Faculty Recruitment					70,000			70,000	-				70,000
AuD Start-Up								-	-			93,100	93,100
Other Commitments					58,394			58,394	-			75,000	133,394
Chancellor's Office Allocations								-	-			362,993	362,993
Int'l Student Services Structural Deficit								-	-	(664,057)			(664,057)
Total Authorized Roll Forward	\$ -	\$ 4,488	\$ 980,809	\$ 1,005,145	\$ 836,394	\$ 1,241,686	\$ 103,264	\$ 4,171,786	\$ -	\$ (664,057)	\$ 154,055	\$ 2,660,094	\$ 6,321,878
OE&E Balance Returned to Division	(679,809)	-	-	-	-	-	-	(679,809)	711,104	-	-		31,295
Salary Savings Returned to University	1,397,581	291,936	425,148	1,415,561	905,731	762,255	(245,253)	4,952,959	131,468	216,053	3,989	1,955,187	7,259,656
Total	\$ 717,772	\$ 296,424	\$ 1,405,957	\$ 2,420,706	\$ 1,742,125	\$ 2,003,941	\$ (141,989)	\$ 8,444,936	\$ 842,572	\$ (448,004)	\$ 158,044	\$ 4,583,986	\$ 13,581,534

Academic Affairs Division
2017-18 Year-End Balance Summary
Continuing Education Revenue Fund

	Applied Sciences & Arts	Business	Education	Engineering	Humanities & the Arts	Science	Social Sciences	Total Academic Colleges	Academic Support Units	Int'l & Extended Studies	University Library	Division-Wide	Total Academic Affairs
Year-End Balance	\$ 6,487,753	\$ 250,729	\$ 386,418	\$ 937,016	\$ 489,591	\$ 971,983	\$ 420,601	\$ 9,944,091	\$ 133,432	\$ 7,146,893	\$ -	\$ (960,319)	\$ 16,264,097
Designation of Year-End Balance													
Capital Projects	500,000							500,000	-	1,485,334			1,985,334
Equipment	317,000					250,000	50,000	617,000	-	-			617,000
Scholarships	388,000							388,000	-	-			388,000
Program Development	430,000		222,000		190,000			842,000	-	1,450,171			2,292,171
Faculty Research Support	353,000		45,500		100,000			498,500	-	-			498,500
Other Commitments		250,729						250,729	-	-			250,729
Total Earmarked	\$ 1,988,000	\$ 250,729	\$ 267,500	\$ -	\$ 290,000	\$ 250,000	\$ 50,000	\$ 3,096,229	\$ -	\$ 2,935,505	\$ -	\$ -	\$ 6,031,734
Program Reserve	4,499,753	-	118,918	937,016	199,591	721,983	370,601	6,847,862	133,432	4,211,388	-	(960,319)	\$ 10,232,363
Total	\$ 6,487,753	\$ 250,729	\$ 386,418	\$ 937,016	\$ 489,591	\$ 971,983	\$ 420,601	\$ 9,944,091	\$ 133,432	\$ 7,146,893	\$ -	\$ (960,319)	\$ 16,264,097



Updated: 8/20/2018